

MEETING OF THE BOARD OF TRUSTEES
APPALACHIAN STATE UNIVERSITY
END ZONE FACILITY

Grandview Ballroom
Friday, March 14, 2025
10:00 a.m.

AGENDA

- | | |
|--|-------------|
| 1. Call to Order
Chair Mark E. Ricks | ACTION |
| 2. Roll Call & Recognition of Visitors
Chair Mark E. Ricks | ACTION |
| 3. Approval of Absences
Chair Mark E. Ricks | ACTION |
| 4. Closed Session | ACTION |
| 5. Reconvene in Open Session | ACTION |
| 6. Remarks from Chancellor Heather Norris | INFORMATION |
| 7. Report from Academic Affairs Committee
Chair Fairley Bell Cook | ACTION |
| 8. Report from Athletics Committee
Chair J. Jeffries Chesson | ACTION |
| 9. Report from Audit, Risk & Compliance Committee
Chair Steven D. Wyatt | ACTION |
| 10. Report from Finance and Operations Committee
Chair James E. Harris | ACTION |
| 11. Report from Student Affairs Committee
Chair James K. Reaves | ACTION |
| 12. Consent Agenda
Chair Mark E. Ricks | ACTION |

Meeting Minutes 12.6.24, 12.7.24 & 2.21.25
Institutional Compliance & Ethics Program Plan – EXHIBIT C-1
Internal Audit Charter – EXHIBIT C-2
FY 2026 All Funds Budget - EXHIBIT D-1

Capital Projects Approval – EXHIBIT D-2

- a. Fermentation Sciences Renovation - \$150K
- b. Campus Parking and Paving 2025 - \$250K
- c. Parking Deck Maintenance 2025 - \$250K
- d. Student Rec Center Lighting Controls Upgrade - \$300K
- e. Kidd Brewer Stadium Miller Hill Upgrade - \$349K
- f. Wey Hall Steam Vault Replacement- \$500K
- g. Appalachian House Private Residence - \$500K
- h. NRLP Oak Grove Substation Repairs - \$748K
- i. Baseball Hitting and Pitching Practice Facility - \$749K
- j. Peacock Addition Increase in Authority - \$3.5M

Phase IV Student Housing Project – EXHIBIT D-3

13. Remarks from SGA President Kathryn Long

INFORMATION

14. Adjournment

ACTION

Chair Mark E. Ricks

MOTION TO CONVENE IN CLOSED SESSION

I MOVE THAT THE BOARD CONVENE IN CLOSED SESSION TO:

1. prevent the disclosure of privileged or confidential information
 pursuant to North Carolina General Statutes section 126-22.
_____ pursuant to Title ____ of the United States Code, section _____ or
_____ pursuant to _____ [applicable law or regulation] in accordance with
N.C.G.S § 143-318.11(a)(1).
2. prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award in accordance with N.C.G.S § 143-318.11(a)(2).
3. consult with our attorney
_____ to preserve the attorney-client privilege
_____ to consider and give instructions to our attorney concerning the handling or
settlement of a claim, judicial action, mediation, arbitration, or administrative procedure
in accordance with N.C.G.S. § 143-318.11(a)(3).
4. discuss matters relating to the location or expansion of industries or other businesses in the area served by this Board in accordance with N.C.G.S § 143-318.11(a)(4).
5. _____ establish or instruct the staff or an agent concerning the position to be taken by or on behalf of this Board in negotiating the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease in accordance with N.C.G.S § 143-318.11(a)(5).
6. _____ establish or instruct the staff or an agent concerning the position to be taken by or on behalf of this Board in negotiating the amount of compensation and other material terms of an employment contract or proposed employment contract in accordance with N.C.G.S § 143-318.11(a)(5).
7. consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
8. _____ hear or investigate a complaint, charge, or grievance by or against an individual public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
9. _____ plan, conduct, or hear reports concerning investigations of alleged criminal conduct in accordance with N.C.G.S § 143-318.11(a)(7).
10. _____ discuss and take action regarding plans to protect public safety as it relates to existing or potential terrorist activity and to receive briefings by staff members, legal counsel, or law enforcement or emergency service officials concerning actions taken or to be taken to respond to such activity in accordance with N.C.G.S §

APPENDIX A

MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Thursday, March 13, 2025
11:00 a.m.
Meeting Room A
End Zone Facility

AGENDA

- | | | |
|----|--|-------------|
| 1. | Call to Order | ACTION |
| 2. | Review and Approval of Minutes | ACTION |
| 3. | Presentation by Sue Polanka, Dean of Libraries | INFORMATION |
| 4. | Convene in Closed Session | ACTION |
| 5. | Promotion and Tenure | ACTION |
| 6. | Peer Group – Acting Provost Specht | INFORMATION |

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2. prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award in accordance with N.C.G.S § 143-318.11(a)(2).
3. consult with our attorney
 to preserve the attorney-client privilege
_____ to consider and give instructions to our attorney concerning the handling or
settlement of a claim, judicial action, mediation, arbitration, or administrative procedure
in accordance with N.C.G.S. § 143-318.11(a)(3).
4. discuss matters relating to the location or expansion of industries or other businesses in
the area served by this Board in accordance with N.C.G.S § 143-318.11(a)(4).
5. establish or instruct the staff or an agent concerning the position to be taken by or on
behalf of this Board in negotiating the price and other material terms of a contract or
proposed contract for the acquisition of real property by purchase, option, exchange, or lease
in accordance with N.C.G.S § 143-318.11(a)(5).
6. establish or instruct the staff or an agent concerning the position to be taken by or on
behalf of this Board in negotiating the amount of compensation and other material terms of
an employment contract or proposed employment contract in accordance with N.C.G.S §
143-318.11(a)(5).
7. consider the qualifications, competence, performance, character, fitness, conditions of
appointment, or conditions of initial employment of an individual public officer or employee
or prospective public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
8. hear or investigate a complaint, charge, or grievance by or against an individual public
officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
9. plan, conduct, or hear reports concerning investigations of alleged criminal conduct in
accordance with N.C.G.S § 143-318.11(a)(7).
10. discuss and take action regarding plans to protect public safety as it relates to existing
or potential terrorist activity and to receive briefings by staff members, legal counsel, or law
enforcement or emergency service officials concerning actions taken or to be taken to respond to
such activity in accordance with N.C.G.S §

APPENDIX B

MEETING OF THE ATHLETICS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Thursday, March 13, 2025
10:00-11:00 a.m.
Meeting Room A
End Zone Facility, Campus

AGENDA

- | | | |
|----|---|-------------|
| 1. | Call to Order in Open Session | ACTION |
| 2. | Approval of Minutes | ACTION |
| 3. | University of North Carolina Intercollegiate Athletics Survey | INFORMATION |
| 4. | Comments from Director of Athletics
Doug Gillin | INFORMATION |
| 5. | Closed Session | ACTION |
| 6. | Reconvene in Open Session | ACTION |
| 7. | Adjournment | ACTION |

MOTION TO CONVENE IN CLOSED SESSION

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___ to consider and give instructions to our attorney concerning the handling or settlement of a claim, judicial action, mediation, arbitration, or administrative procedure in accordance with N.C.G.S. § 143-318.11(a)(3).
4. ___ discuss matters relating to the location or expansion of industries or other businesses in the area served by this Board in accordance with N.C.G.S § 143-318.11(a)(4).
5. ___ establish or instruct the staff or an agent concerning the position to be taken by or on behalf of this Board in negotiating the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease in accordance with N.C.G.S § 143-318.11(a)(5).
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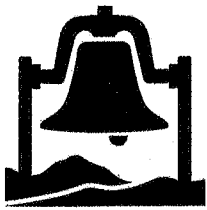
APPENDIX C

MEETING OF THE AUDIT, RISK, AND COMPLIANCE COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Thursday, March 13, 2025
9:00 a.m.
Meeting Room A
End Zone Facility

AGENDA

1. Call to Order
2. Approval of Minutes ACTION
3. Presentation of the FY 2024 Financial Statement Audit Report - INFORMATION
Ms. Robin Davis, Assistant State Auditor -
Audit Manager, Office of the State Auditor
4. Institutional Compliance and Ethics Program Plan – Exhibit C-1 ACTION
Mr. Brad Boswell, Chief Compliance and Ethics Officer
5. Internal Audit Charter – Exhibit C-2 ACTION
Mrs. Kristi Gragg, Interim Chief Audit Officer
6. Summary of FY '25 Audit Plan and Recent Internal Audit INFORMATION
Activity Update
7. Summary of External Audits Completed and In-Progress INFORMATION
8. Other OIA Updates INFORMATION
9. IT Update INFORMATION
Mr. Keith Werner, Chief Information Officer
10. Adjournment ACTION



APPALACHIAN STATE UNIVERSITY

DIVISION OF INSTITUTIONAL INTEGRITY
Compliance and Ethics**Institutional Compliance and Ethics Program Plan**

(Adopted xxx xx, 20xx; Last updated February 20, 2025)

Introduction

Appalachian State University (“University”) is committed to operating in a manner that embraces the highest ethical standards and complies with applicable laws, regulations, and policies. Because the University engages in a broad range of activities, the number and scope of applicable compliance requirements is vast. The ultimate goal of the Compliance and Ethics Program (“Program”) is to enhance compliance consciousness in the campus community by helping University faculty, staff, and other community members perform their roles and responsibilities to the best of their ability and with the highest level of integrity. The Program is an enterprise-wide commitment that requires contributions from everyone to ensure its success.

The Program is designed to operationalize the requirements for effective compliance and ethics programs as established by U.S. Federal Sentencing Guidelines for Organizations. Specifically, the Program is organized around the seven elements of an effective compliance and ethics program: (1) governance and high-level oversight; (2) policies and standards of conduct; (3) communication and reporting; (4) training and education; (5) risk identification and monitoring; (6) response and prevention; and (7) enforcing standards. This Compliance and Ethics Program Plan (“Plan”) describes the core functions of the Program in relation to the seven elements and identifies key Program roles and responsibilities.

This Plan is intended to be a living document capable of keeping pace with the implementation of an effective compliance and ethics program, the University’s mission, goals, and strategic initiatives, and the continually evolving regulatory landscape. Program staff will periodically review the Plan and make appropriate changes and improvements as deemed necessary. The Chief Compliance and Ethics Office (“CCEO”) shall serve as the steward for the Plan and any of its revisions, which will be reviewed periodically by the Risk Review Board.

1. Governance and High-Level Oversight

The Program, driven by the Senior Leadership, emphasizes the University's commitment to integrity, a culture of compliance, and the promotion of the highest ethical standards for all employees. Two organizational groups, the Risk Review Board and Compliance Partners Working Group, will provide leadership and oversight of the Program. Together these groups provide sufficient supervision of the Program and establish a body of compliance, ethics, and risk knowledge. The Program primarily originates out of the Division of Institutional Integrity, which helps to facilitate compliance activities and initiatives for the entire University.

Board of Trustees Audit, Risk, and Compliance Committee

Governing oversight and accountability for the Program are established through the Board of Trustees' Audit, Risk, and Compliance Committee. This Committee provides advice and recommends actions to establish the overall University tone for the Program, including quality reporting, sound business risk practices, ethical behavior, establishing risk tolerance, and facilitating a compliant and ethical culture.

Chancellor, Senior Leadership, and General Counsel & Director of Institutional Integrity

The Chancellor serves as the administrative and executive head of the institution and exercises complete executive authority of the University. The Chancellor will be knowledgeable about the Program and shall exercise oversight with respect to its implementation and effectiveness. Senior Leadership, including the Chancellor, Vice Chancellors, and Chancellor's cabinet, promotes excellence in the University's efforts to comply with its legal, regulatory, and ethical standards. Senior Leadership will ensure compliance functions within their scope have adequate resources and meaningfully participate in the Program; and foster a culture of compliance and ethical conduct within their area of supervision.

The General Counsel & Director of Institutional Integrity provides administrative oversight to the CCEO and is responsible for ensuring the Office of Compliance and Ethics has the independence, resources, and appropriate authority necessary to administer the Program.

Chief Compliance and Ethics Officer and Office of Compliance and Ethics

The CCEO is responsible for managing and coordinating the Program. The CCEO reports administratively to the General Counsel & Director of Institutional Integrity. The CCEO should have appropriate access to all resources necessary to carry out the Program. The CCEO shall keep the Chancellor and the Board of Trustees Audit, Risk, and Compliance Committee updated on the Program. The CCEO's responsibilities include the following:

- maintain a professional staff with sufficient size, knowledge, skills, experience, and professional certifications;
- routinely communicate to the Board of Trustees Audit, Risk, and Compliance Committee and the Chancellor on the development and effectiveness of the Program;
- oversee, monitor, and perform assessments of the Program and make appropriate changes and improvements; and

- develop and update this Plan.

To ensure independence with the Program, the CCEO shall not maintain any direct functional compliance responsibilities.

The Office of Compliance and Ethics (“OCE”), led by the CCEO, strives to build a university-wide compliance program that fosters a culture of compliance awareness, adherence, and collaboration that aligns with Federal guidelines. Responsibilities of the OCE include:

- provide advice and guidance to administration, faculty, and staff to facilitate compliance with statutory, regulatory, and University policy requirements;
- develop and maintain a university-wide compliance awareness and training program that educates the campus community of the importance of compliance;
- provide multiple points of contact to address concerns of potential non-compliance or unethical behavior including an avenue for anonymous reporting;
- assist in the provision of processes to help ensure employees are protected from retaliation with good faith reporting of non-compliance concerns;
- work collaboratively with the Risk Review Board and other compliance officials to identify high risk compliance areas;
- review high-risk compliance areas for effectiveness in reducing the likelihood of noncompliance with applicable laws, regulations, and policies; and
- evaluate emerging compliance trends in higher education and implement best practices.

Risk Review Board

Appointed by the Chancellor, the Risk Review Board (“RRB”) provides leadership with risk tolerance and risk treatment options to address University enterprise risk. As a function of this, the RRB shall provide oversight of the Program and promote a culture of compliance, ethics, and accountability. The RRB provides guidance and assistance on the development of the Program, fosters compliance within the members’ areas of responsibility, and aids with the identification and mitigation of compliance and enterprise risk. Additionally, the RRB will serve to ensure effective and consistent communication and that the elements of the Program are implemented at all levels of the institution.

The CCEO has ultimate responsibility for operating the Program, with the assistance and support of the RRB. The CCEO will provide regular reports to the RRB regarding the status of the Program, as well as seek advice and guidance from the RRB.

Compliance Partners Working Group

The Compliance Partners Working Group (“Working Group”) is a staff-level committee comprised of employees directly responsible for compliance-related functions that supports the day-to-day operations of the Program, helps increase Program visibility, and assists with Program coordination, communication and training. Membership of the Working Group shall be based on recommendations from the RRB as a combination of responsible parties for current high compliance risks and representatives from other stakeholder groups across the University. The Working Group provides compliance leadership in the University’s academic and administrative

units and ensures effective communication and collaboration among employees responsible for compliance.

2. Policies and Standard of Conduct

The University has established policies and procedures designed to help create a system of compliance and internal controls. These policies reflect regulatory and institutional requirements, clarify responsibilities, and set expectations for conducting operations. The University policies are made available online to all employees, students, visitors, and members of the public. These policies are continually updated and reviewed to ensure policies align with compliance expectations. Additionally, units with compliance responsibilities engage in developing standard operating procedures, best practices, and refining internal unit guidelines and standards. These materials should be reviewed on a periodic basis.

The University is also regulated by the University of North Carolina Code and Policy Manual. The Code incorporates the requirements of the North Carolina constitution and General Statutes, as well as Board of Governors bylaws and other high-level policies. The UNC Policy Manual provides more specific direction and policies on University matters.

In addition, the University is also subject to North Carolina General Statute Chapter 138A, the "State Government Ethics Act." The purpose of the "State Government Ethics Act" is to ensure that State agency officials exercise their authority honestly and fairly, free from impropriety, threats, favoritism, and undue influence. The CCEO serves as the University's Ethics Liaison to assist with ensuring compliance with the "State Government Ethics Act."

There are further state and federal law requirements governing the ethical behavior of employees, contractors, and agents. All employees are responsible for their compliance with applicable state and federal law.

3. Communication and Reporting

The University engages in efforts to communicate its compliance and ethics activity to Senior Leadership, Compliance Owners, and all other appropriate stakeholders. Effective communication is necessary to ensure that all campus constituents are knowledgeable and understand the applicable laws, regulations and policies that apply to them. Further, the University must maintain and publicize a system to report or seek guidance regarding potential misconduct, non-compliance, or unethical conduct. The Program ensures open communication and reporting through the various mechanisms described below.

Communication Methods

The Program aims to provide relevant and timely information to the University community surrounding applicable laws, policies, and important Program information. Specifically, the Program's website will include information on core compliance functions, foundational documents and policies, ethical decision-making resources, announcements of University compliance and ethics activities and news, summaries of "hot topics" in compliance and ethics,

contact information for Program staff and Compliance Partners, and other such relevant information. The Program will distribute content via a newsletter and regular communication (through e-mail, meetings, etc.) with Compliance Partners.

Compliance Responsibility Matrix

Each member of the University community has a responsibility to conduct themselves ethically and in compliance with the law. The OCE will establish and maintain an institutional Compliance Responsibility Matrix that represents a foundational dataset identifying the key University compliance obligations and the assignment of compliance ownership to the appropriate unit on campus charged with leading such compliance efforts ("Compliance Owners"). The Compliance Responsibility Matrix also serves as a resource to help University community members locate the appropriate individual to contact with questions or concerns about key areas of compliance. The Compliance Responsibility Matrix illustrates the principle of shared accountability for compliance and furthers the University's culture of collaboration, openness, honesty, and integrity.

Reporting Mechanisms

Reporting suspected non-compliance is essential to the effectiveness of the Program. Members of the University community are encouraged to report suspected violations of, or non-compliance with laws, policies, regulations or rules. There are various methods and resources available to make reports. The Program will review the existing reporting mechanisms and seek input for the RRB on best practices in reporting.

Individuals who are making a report are asked to provide as much detailed information about their concern as possible so that the University can adequately investigate and/or respond to the matter. Due to the nature of certain reports, the University may be limited in its ability to follow-up on anonymous reports where it cannot ask additional questions of the reporting party.

Reporting suspected violations of laws, policies, regulations or rules is a protected activity. Any adverse action (including intimidation, threats, or coercion) taken against an individual because the individual reported a concern constitutes retaliation and is strictly prohibited.

4. Training and Education

Training and education are fundamental to raise awareness of ethics and compliance requirements, responsibilities in fulfilling the requirements, and the consequences of non-compliance. Training and education programs are included as both educational and mitigation actions as part of the Program. General and specific training and education is provided so that University employees understand their legal and ethical obligations and responsibilities, as well as related risks, in the performance of their job duties and areas of expertise. Training and education programs are also utilized to manage any required federal, state, or University certification requirements, provide updates on best practices in fields, as well as to provide awareness for regulatory or statutory changes.

Training and education opportunities include rich content development and a comprehensive multimedia presence which utilizes a variety of educational mediums. These methods of training include web-based training tools and offered in-person training for mandatory and voluntary education programs, which should be tailored to the participant's sophistication and subject matter expertise. Training should include lessons learned from previous compliance incidents, both those occurring at the University or at other institutions.

Specific Compliance Training and Education

It will be the responsibility of the Compliance Owners to develop the content and deliver specific compliance training and education related to their area of regulatory oversight responsibility. The content of these training and educational programs shall include those areas mandated by law, regulation and/or policy and may include a review of relevant compliance requirements applicable to that regulatory compliance area, identified or potential risk areas, responsibilities, and methods to improve compliance.

General Compliance Training and Education

The OCE shall be responsible for developing the content for and delivery of general compliance training and education for the University. The content of general compliance education and training will include, but is not limited to, the Program, the Compliance Responsibility Matrix, and other information necessary to maintain an effective general compliance training and education program.

OCE staff are equally tasked with ensuring they stay current on compliance issues and trends by obtaining and maintaining recognized industry certifications, and by regularly attending training and education seminars, conferences, reading relevant articles and publications, and participating in professional networking.

Completion Reporting

Records of completion of required compliance training and education shall be maintained by the Compliance Owner in accordance with applicable guidelines. Summary reports of compliance with mandatory compliance training and education requirements should be made available to appropriate stakeholders upon request.

5. Risk Identification and Monitoring

Everyone in the University community should be empowered to identify and raise risk concerns to appropriate stakeholders. Compliance Owners should endeavor to seek formal and informal input from the campus community on trending risks affecting the University. In addition, this Program will establish compliance risk reviews and be incorporated into existing processes to help capture a risk inventory and risk mitigation process for compliance risks affecting the University.

Compliance Risk Reviews

Compliance Risk Reviews are a proactive process of collaborative, cross-disciplinary, cross-educational gap analysis and mitigation of the University's compliance efforts. The scope of the review varies from a focused look at compliance efforts related to one regulation or policy to a broad view across a class of regulations or policies. Compliance Risk Reviews will be identified to occur on a periodic basis, with insight and guidance from the RRB. Compliance Risk Reviews will be periodically reported to Senior Leadership and Board of Trustees Audit, Risk and Compliance Committee, in order to assist in the review and evaluation of the Program's effectiveness.

Coordination with Internal Audits

The Office of Internal Audits (OIA) serves as the University's internal auditor, providing internal audits and reviews, management consulting and advisory services, investigations of fraud and abuse, follow-up of audit recommendations, evaluation of the processes of risk management and governance, and coordination with external auditors. The OCE works collaboratively with OIA to support their work and will be a resource for OIA to assist in mitigation efforts following internal audit activities.

Annual Risk Evaluation

In consultation with the RRB, the University's Chief Audit Officer and Enterprise Risk Manager perform an Annual Risk Evaluation that is designed to identify key and emerging areas of risk. The annual review includes interviews and surveys with the Risk Owners throughout the enterprise. Results of the Annual Risk Evaluation are used to inform mandatory reporting to the University of North Carolina System Office, assist in the development of OIA's annual audit plan, and identify areas in need of a Compliance Risk Review.

Program Effectiveness Review

The OCE will obtain an external review of the Program's design and effectiveness periodically, by a qualified, independent assessor or assessment team from outside of the University. The review and any recommendations for improvement are provided to the Chancellor and RRB. Action plans to address significant issues, if any, will be reported.

6. Response and Prevention

In helping to achieve the Program's purpose, each Compliance Owner is responsible for adapting rapid response and prevention protocols that are uniquely developed based on their compliance area. These rapid response and prevention protocols may require prompt investigations by appropriate units and necessary corrective actions to prevent similar issues when compliance violations are detected.

Investigations

Compliance Owners, or appropriate University stakeholders are responsible for investigating reports of noncompliance and identifying thorough communications channels to help address incidents as they arise. The officials conducting the investigation shall report to appropriate University management and employees the results of the investigation and whether corrective action will be recommended.

Corrective Actions

Following an investigation or review, it is the responsibility of each Compliance Owner to implement recommended corrective actions. Recommended corrective actions are monitored and escalated as appropriate to Senior Leadership, the Chancellor, and/or the Board of Trustees Audit, Risk and Compliance Committee. These efforts serve to ensure that the Program remains effective, and that the University is taking steps to prevent the reoccurrence of misconduct or noncompliance.

7. Enforcing Standards

The Program is promoted and enforced consistently through the application of appropriate incentives and, when necessary, appropriate disciplinary measures resulting from instances of misconduct or noncompliance. When failures in compliance and ethics are identified, the Program requires that issues are addressed in a timely manner through appropriate measures.

Employee Performance

Annually, all employees receive performance appraisals completed by their supervisor or designee. Employees are evaluated on the performance of their duties as communicated through job descriptions, whether they met expected goals and objectives, and whether they performed in a manner consistent with University values. Institutional Goals are incorporated within the performance appraisal, which are consistent performance and behavioral expectations created by the UNC System and apply to all University employees. Included as one of the Institutional Goals is "Compliance & Integrity." All employees are appraised in this area based on the following criteria:

- **Compliance:** Complies with University personnel policies, including prohibitions on harassment, discrimination, and workplace violence, and protects the confidentiality of records.
- **Safety:** Complies with all safety requirements for the position, including successful completion of training and proper use of personal protective equipment.
- **Ethics:** Chooses ethical actions even under pressure, avoids situations considered inappropriate or that present a conflict of interest, holds self and others accountable for ethical decisions, and addresses unethical actions directly.
- **Respect:** Appreciates individual and cultural differences, treats all people with dignity and respect.

Incentives

By encouraging and rewarding community participation in the Program, the University strengthens the awareness, understanding and respect for compliance and ethics, while driving the behaviors expected and endorsed by the University. Incentives are used to help change behaviors, staff performance, and recognize members of the University community who exemplify and influence compliant and ethical thinking. The Program will develop incentives to encourage discussion, recognize innovation and further the mission and values of the University.

Disciplinary Measures

Disciplinary measures may also be applied following a finding of policy violation or other misconduct. If appropriate, it is the responsibility of the supervisor or appropriate Senior Leader to ensure that disciplinary measures are implemented and that other corrective actions are completed. Disciplinary measures should be applied consistently and in compliance with applicable laws, regulations, and policies.

Appalachian State University

Internal Audit Charter

PURPOSE

The purpose of the Office of Internal Audits (OIA) is to strengthen the ability of Appalachian State University (University) to create, protect, and sustain value by providing the board and management with independent, risk-based, and objective assurance, advice, insight, and foresight.

The internal audit function enhances the University's:

- Successful achievement of its mission and objectives.
- Governance, risk management, compliance, and control processes.
- Decision-making and oversight.
- Reputation and credibility with its stakeholders.
- Ability to protect the public interest.

The OIA is most effective when:

- Internal auditing is performed by competent professionals in conformance with The IIA's *Global Internal Audit Standards*TM, which are set in the public interest.
- The internal audit function is independently positioned with direct accountability to the Audit, Risk, and Compliance Committee (ARC Committee) of the Board of Trustees (BOT).
- Internal auditors are free from undue influence and committed to making objective assessments.

Commitment to Adhering to the *Global Internal Audit Standards*

The OIA will adhere to the mandatory elements of The Institute of Internal Auditors' International Professional Practices Framework, which are the *Global Internal Audit Standards (Standards)* and Topical Requirements. The Chief Audit Officer (CAO) will report periodically to the ARC Committee and senior management regarding the internal audit function's conformance with the *Standards*, which will be assessed through a quality assurance and improvement program.

MANDATE

The OIA mandate is found in three governing documents:

- North Carolina General Statute (NC GS) Chapter §116-40.7 (which establishes the independent audit function at state universities)
- NC GS Chapter §143 Article 79, "The NC Internal Audit Act," which requires OIA to comply with professional internal auditing standards issued by The Institute of Internal Auditors
- Audit-related requirements from the University of North Carolina (UNC) Board of Governors, the UNC System Office, and the NC Council of Internal Auditing

The CAO will ensure that the OIA maintains compliance with the mandate.

Authority

The internal audit activity is established by the ARC Committee. The OIA's responsibilities are defined by the ARC Committee as part of its oversight role. Final approval of the internal audit activity charter resides with the BOT.

The ARC Committee authorizes OIA to

- Have full and unrestricted access to all functions, data, records, information, physical property, and personnel pertinent to carrying out internal audit responsibilities. Internal auditors are accountable for confidentiality and safeguarding records and information.

- Allocate resources, set frequencies, select subjects, determine scopes of work, apply techniques, and issue communications to accomplish the OIA's objectives.
- Obtain assistance from the necessary personnel of the University and other specialized services from within or outside the University to complete internal audit services.

Independence, Organizational Position, and Reporting Relationships

All internal audit activity must be independent and objective; that is, free from undue influence or interference in the selection of activities to be examined, determination of the scope or methodology of work, and in communication of the results. The CAO will be positioned at a level in the organization that enables internal audit services and responsibilities to be performed without interference from management, thereby establishing the independence of the internal audit function. (See "Mandate" section.)

To provide for the independence of OIA, its personnel should report to the CAO, who reports administratively (operationally) to the Director of the Division of Institutional Integrity (DII) and functionally to the ARC Committee. This positioning provides the organizational authority and status to bring matters directly to senior management and escalate matters to the ARC Committee, when necessary and without interference, and supports the OIA's ability to maintain objectivity.

The CAO will:

- Have direct and unrestricted access to the Chancellor and the ARC Committee. The CAO will communicate and interact directly with the ARC Committee, including in closed sessions and between regularly scheduled ARC Committee meetings, as necessary.
- Confirm to the ARC Committee and the BOT, at least annually, the organizational independence of the OIA.
- Disclose to the Chancellor and the ARC Committee any interference internal auditors encounter related to the scope, performance, or communication of internal audit work and results. The disclosure will include communicating the implications of such interference on the OIA's effectiveness and ability to fulfill its mandate.

Changes to the Mandate and Charter

Circumstances may justify a follow-up discussion between the CAO, ARC Committee, and senior management on the internal audit mandate or other aspects of the internal audit charter. Such circumstances may include but are not limited to significant changes in the *Standards*; regulatory requirements; the University's or the OIA's leadership; or the University's strategies, risk profile, or environment.

ARC COMMITTEE OVERSIGHT

The ARC Committee shall be composed, organized, and fulfill its responsibilities in accordance with the ARC Committee Charter approved by the BOT in establishing, maintaining, and ensuring that the internal audit function has sufficient authority to fulfill its duties.

CAO ROLES AND RESPONSIBILITIES

Ethics and Professionalism

The CAO will ensure that internal auditors:

- Conform with the *Standards*, including the principles of Ethics and Professionalism: integrity, objectivity, competency, due professional care, and confidentiality.
- Understand, respect, meet, and contribute to the legitimate and ethical expectations of the organization and

be able to recognize conduct that is contrary to those expectations.

- Encourage and promote an ethics-based culture in the organization, including educating the campus community about options to report fraud, waste, and abuse.
- Report organizational behavior that is inconsistent with the organization's ethical expectations, as described in applicable policies and procedures.

Objectivity

The CAO will ensure that the OIA remains free of conditions that threaten the ability of the internal auditors to carry out their responsibilities in an unbiased manner, including matters of engagement selection, scope, procedures, frequency, timing, and communication. If the CAO determines that objectivity may be impaired in fact or appearance, the details of each impairment will be disclosed to appropriate parties.

Internal auditors will maintain an unbiased mental attitude that allows them to perform engagements with objectivity and independence such that they believe in their work product, do not compromise quality, and do not subordinate their judgment on audit matters to others, either in fact or appearance.

The OIA will have no direct operational responsibility or authority over any of the activities they review. OIA is **not** authorized to make decisions or engage in other activities that are the responsibility of management. Accordingly, internal auditors will not implement internal controls, develop procedures, install systems, prepare records or accounting transactions external to the internal audit function, perform operational duties (external to the internal audit function) for the University or its affiliates, or direct activities of any organization personnel not employed in OIA. In addition, OIA staff will not assess operations for which they had responsibility within the previous year (although they may serve in an advisory capacity in these matters).

Internal auditors will:

- Disclose impairments of independence or objectivity, in fact or appearance, to appropriate parties such as the CAO, ARC Committee, management, or others. Disclosure is made at least annually to the CAO.
- Exhibit professional objectivity in gathering, evaluating, and communicating information.
- Make balanced assessments of all available and relevant facts and circumstances.
- Take necessary precautions to avoid conflicts of interest, bias, and undue influence.

Where the CAO has or is expected to have roles and/or responsibilities that fall outside of internal auditing, necessary disclosures and/or safeguards will be established to limit impairments to independence and objectivity. Any long-term non-audit roles and responsibilities assigned to CAO will require approval by the Chancellor and the ARC Committee.

Managing the Internal Audit Function

The CAO has the responsibility to manage the internal audit function, including but not limited to:

- At least annually, develop a risk-based internal audit plan using a documented assessment process that considers the input of various management participants and other stakeholders as appropriate. Discuss the plan with the ARC Committee and the Chancellor, and submit the proposed plan to the ARC Committee for approval.
- Implement, review, and adjust the internal audit plan, as necessary, in response to changes in the University's business, risks, operations, programs, systems, and controls.
- Participate (or delegate duties among the audit staff) on various committees or task forces to provide guidance and proactively address potential issues.

- Implement, as appropriate, any assignments or projects requested by management, the Chancellor, the UNC System Office, the NC Council of Internal Auditing, external auditors, and the ARC Committee – so long as such special requests do not cause the OIA to be out of conformance with the *Standards*.
- Maintain appropriate interactions with various external audit-related governance groups such as: the UNC System Office, the NC Council of Internal Auditing, the Office of the State Auditor, and others as required.
- Issue periodic reports summarizing results of audit activities to senior management, the Chancellor, and the ARC Committee.
- Ensure risk-based internal audit engagements are performed, documented, and communicated in accordance with the *Standards*, relevant laws and/or regulations, and OIA policies.
- Keep the Chancellor and ARC Committee informed of trends and emerging issues that could impact the University.
- Follow-up on engagement findings and recommendations and confirm the implementation of management action plans in a timely manner. All significant findings will remain in an open issues file until they are cleared. Communicate the results of internal audit services to the ARC Committee and senior management for each follow-up engagement as appropriate.
- Ensure that the internal audit staff collectively possesses or obtains the knowledge, skills, and other competencies and qualifications needed to meet the requirements of the *Standards* and fulfill the internal audit mandate.
- Establish and ensure adherence to methodologies designed to guide the internal audit function through a systematic and disciplined approach.
- Consider emerging trends and successful practices in internal auditing.
- Ensure adherence to the University's relevant policies and procedures and the OIA standard operating procedures manual (the Audit Manual) unless such policies and procedures conflict with the internal audit charter or the *Standards*. Any such conflicts will be resolved or documented and communicated to the ARC Committee and senior management.
- Coordinate activities and consider relying upon the work of other internal and external providers of assurance and advisory services. If the CAO cannot achieve an appropriate level of coordination, the issue must be communicated to senior management and if necessary escalated to the ARC Committee.

Communication with the ARC Committee and Senior Management

The CAO will report periodically to the ARC Committee and senior management regarding:

- The OIA's function and activities, and its ability to ensure the fulfillment of the OIA's mandate.
- Potential impairments to independence, including relevant disclosures as applicable.
- Results of assurance and advisory (also known as consulting) services by OIA.
- Results of external audits.
- The annual audit plan and performance relative to its plan, including a year-end summary.
- Significant interim revisions to the internal audit plan.
- The internal audit budget and other resource requirements, any significant revisions, and any resource limitation impacts that may have a detrimental impact on the ability to meet the OIA's mandate or to carry out the internal audit plan.
- Significant risk exposures and control issues, including fraud risks, governance issues, and other areas of focus for the ARC Committee that could interfere with the achievement of the University's strategic objectives.
- Management's response to risk that, in the CAO's opinion, may be unacceptable to the University or beyond

the University's risk appetite.

- Results of ongoing internal quality assessments (ongoing monitoring and periodic self-assessments) and periodic external assessments from the quality assurance and improvement program, which include the internal audit function's conformance with the *Standards*, action plans to address any internal audit function's deficiencies, and opportunities for improvement.

Quality Assurance and Improvement Program

The CAO will develop, implement, and maintain a quality assurance and improvement program that covers all aspects of the internal audit function. The program will include external and internal assessments of the internal audit function's conformance with the *Standards*, as well as performance measurements to assess the internal audit function's progress toward the achievement of its objectives and promotion of continuous improvement. The program will also assess, if applicable, compliance with laws and/or regulations relevant to internal auditing. As applicable, the assessment will include plans to address the internal audit function's deficiencies and opportunities for improvement.

In accordance with the *Standards*, external assessments will be conducted at least once every five years, by a qualified, independent assessor or assessment team from outside of the University; qualifications must include at least one assessor holding an active Certified Internal Auditor® credential.

SCOPE AND TYPES OF INTERNAL AUDIT SERVICES

The scope of internal audit services covers the entire breadth of the University, including all the University's activities, assets, information, and personnel. The scope of internal audit assurance activities encompasses, but is not limited to, objective evaluations of evidence in order to provide independent conclusions and, when applicable, improve the effectiveness of governance, risk management, compliance, and control processes for the University. The nature and scope of assurance services are determined by the internal auditor.

Advisory services (also known as consulting) are done to assist management in meeting its objectives and to proactively address issues, provided the internal audit function does not assume management responsibility. The nature and scope of advisory services are subject to agreement with the party requesting the service. These services may include evaluation and assessment of significant University functions, new or changing services, strategies, processes, operations, major systems, and control processes coincident with their development, implementation, and/or expansion to identify risk, make recommendations to mitigate that risk, and consult on management activities. Opportunities for improving the efficiency of governance, risk management, compliance, and control processes may be identified during advisory engagements. These opportunities will be communicated to the appropriate level of management with the goal of adding value and promoting the best interests of the University.

Internal audit engagements may include evaluating whether:

- Risks relating to the achievement of the University's strategic objectives are appropriately identified and managed.
- Operations or programs are adequate to control activities and manage risks; are being carried out effectively, efficiently, ethically, and sustainably; and results of operations or programs are consistent with established objectives and goals of the University.
- Significant financial, managerial, and operational information, and the means used to identify, measure, analyze, classify, report, secure, and control such information, is accurate and reliable.
- Established processes, controls, and systems enable compliance with the policies, procedures, laws, and

regulations that could have a significant impact on the University.

- Resources and assets are acquired economically, used efficiently and sustainably, and protected adequately.
- University units are compliant to University, UNC System Office, State, and Federal requirements; related processes are administered correctly; and issues are recognized and addressed appropriately and promptly.
- The actions of the University's officers, directors, management, employees, and contractors or other relevant parties comply with the University's policies, procedures, and applicable laws, regulations, and governance standards.

A written report will be prepared and issued by the CAO or audit designee following the conclusion of each internal audit engagement and will be distributed to the Chancellor, the ARC Committee, other management, and outside parties as needed. If warranted, reporting will include significant risk exposures and control issues (including fraud risks, governance issues, and other matters) along with audit's recommendations. The internal audit report should include management's response and corrective action plan to be taken about the specific findings and recommendations.

Approved this 13th day of March 2025.

Chancellor

Chair of the Audit, Risk, and Compliance Committee

Chair of the Board of Trustees

Interim Chief Audit Officer

DRAFT

APPENDIX D

MEETING OF THE FINANCE AND OPERATIONS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Grandview Ballroom - Meeting Room A

March 14, 2025

8:30 a.m.

AGENDA

- | | |
|---|--------|
| 1. Call to Order | ACTION |
| 2. Approval of Minutes | ACTION |
| 3. Closed Session | ACTION |
| 4. Reconvene in Open Session | ACTION |
| 5. FY 2026 All Funds Budget - (EXHIBIT D-1) | ACTION |
| 6. Capital Projects Approval – (EXHIBIT D-2) | ACTION |
| a. Fermentation Sciences Renovation - \$150K | |
| b. Campus Parking and Paving 2025 - \$250K | |
| c. Parking Deck Maintenance 2025 - \$250K | |
| d. Student Rec Center Lighting Controls Upgrade - \$300K | |
| e. Kidd Brewer Stadium Miller Hill Upgrade - \$349K | |
| f. Wey Hall Steam Vault Replacement- \$500K | |
| g. Appalachian House Additional Renovations - \$500K | |
| h. NRLP Oak Grove Substation Repairs - \$748K | |
| i. Baseball Hitting and Pitching Practice Facility - \$749K | |
| j. Peacock Addition Increase in Authority - \$3.5M | |
| 7. Phase IV Student Housing Project | ACTION |
| 8. Adjournment | ACTION |

MOTION TO CONVENE IN CLOSED SESSION

I MOVE THAT THE BOARD CONVENE IN CLOSED SESSION TO:

1. prevent the disclosure of privileged or confidential information pursuant to North Carolina General Statutes section 126-22.
_____ pursuant to Title ____ of the United States Code, section _____ or
_____ pursuant to _____ [applicable law or regulation] in accordance with
N.C.G.S § 143-318.11(a)(1).
2. _____ prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award in accordance with N.C.G.S § 143-318.11(a)(2).
3. consult with our attorney
_____ to preserve the attorney-client privilege
_____ to consider and give instructions to our attorney concerning the handling or
settlement of a claim, judicial action, mediation, arbitration, or administrative procedure
in accordance with N.C.G.S. § 143-318.11(a)(3).
4. discuss matters relating to the location or expansion of industries or other businesses
in the area served by this Board in accordance with N.C.G.S § 143-318.11(a)(4).
5. _____ establish or instruct the staff or an agent concerning the position to be taken by or on
behalf of this Board in negotiating the price and other material terms of a contract or
proposed contract for the acquisition of real property by purchase, option, exchange, or lease
in accordance with N.C.G.S § 143-318.11(a)(5).
6. _____ establish or instruct the staff or an agent concerning the position to be taken by or on
behalf of this Board in negotiating the amount of compensation and other material terms of
an employment contract or proposed employment contract in accordance with N.C.G.S §
143-318.11(a)(5).
7. _____ consider the qualifications, competence, performance, character, fitness, conditions of
appointment, or conditions of initial employment of an individual public officer or employee
or prospective public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
8. _____ hear or investigate a complaint, charge, or grievance by or against an individual public
officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
9. _____ plan, conduct, or hear reports concerning investigations of alleged criminal conduct in
accordance with N.C.G.S § 143-318.11(a)(7).
10. _____ discuss and take action regarding plans to protect public safety as it relates to existing
or potential terrorist activity and to receive briefings by staff members, legal counsel, or law
enforcement or emergency service officials concerning actions taken or to be taken to respond to
such activity in accordance with N.C.G.S §

Appalachian State University
FY 2025-26 All-Funds Budget

EXHIBIT D-1

	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues					
State Appropriations	\$ 214,932,000	\$ -	\$ -	\$ -	\$ 214,932,000
Tuition & Fees	\$ 136,383,000	\$ 86,838,000	\$ -	\$ -	\$ 223,221,000
Less Discounts and Allowances	\$ (15,367,000)	\$ (13,970,000)	\$ -	\$ (16,773,000)	\$ (46,110,000)
Sales & Services	\$ 220,000	\$ 124,003,000	\$ -	\$ 459,000	\$ 124,682,000
Patient Services	\$ -	\$ 726,000	\$ -	\$ -	\$ 726,000
Contracts & Grants	\$ 4,408,000	\$ 234,000	\$ -	\$ 74,793,000	\$ 79,435,000
Gifts & Investments	\$ -	\$ 12,189,000	\$ -	\$ 10,738,000	\$ 22,927,000
Other Revenues	\$ 8,281,000	\$ 12,221,000	\$ 3,000,000	\$ 814,000	\$ 24,316,000
Revenues Total	\$ 348,857,000	\$ 222,241,000	\$ 3,000,000	\$ 70,031,000	\$ 644,129,000
Expenses					
Salaries and Wages	\$ 223,813,000	\$ 64,909,000	\$ 1,092,000	\$ 12,295,000	\$ 302,109,000
Staff Benefits	\$ 76,636,000	\$ 22,005,000	\$ 289,000	\$ 3,001,000	\$ 101,931,000
Services, Supplies, Materials, & Equip.	\$ 32,068,000	\$ 89,552,000	\$ 645,000	\$ 19,764,000	\$ 142,029,000
Scholarships & Fellowships	\$ 15,367,000	\$ 13,970,000	\$ -	\$ 50,930,000	\$ 80,267,000
Less Discounts and Allowances	\$ (15,367,000)	\$ (13,970,000)	\$ -	\$ (16,773,000)	\$ (46,110,000)
Debt Service	\$ 2,352,000	\$ 22,641,000	\$ -	\$ -	\$ 24,993,000
Utilities	\$ 6,532,000	\$ 4,907,000	\$ 2,000	\$ -	\$ 11,441,000
Other Expenses	\$ 4,518,000	\$ 4,981,000	\$ -	\$ -	\$ 9,499,000
Expenses Total	\$ 345,919,000	\$ 208,995,000	\$ 2,028,000	\$ 69,217,000	\$ 626,159,000
Net Transfers	\$ (43,000)	\$ (21,558,000)	\$ 1,000	\$ -	\$ (21,600,000)
Change in Fund Balance	\$ -	\$ (8,312,000)	\$ 973,000	\$ 814,000	\$ (6,525,000)

**Appalachian State University - Unit Breakout
FY 2025-26 All-Funds Budget**

College of Arts and Sciences		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues	State Appropriation, Tuition, & Fees	\$ 69,949,000	\$ 1,550,000	\$ -	\$ -	\$ 71,499,000
	Sales & Services	\$ -	\$ 241,000	\$ -	\$ -	\$ 241,000
	Patent Services	\$ -	\$ -	\$ -	\$ -	\$ -
	Contracts & Grants	\$ -	\$ 67,000	\$ -	\$ -	\$ 67,000
	Gifts & Investments	\$ -	\$ -	\$ -	\$ 293,000	\$ 293,000
	Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total		\$ 69,949,000	\$ 1,858,000	\$ -	\$ 293,000	\$ 72,100,000
Expenses	Salaries and Wages	\$ 50,729,000	\$ 490,000	\$ 43,000	\$ 1,417,000	\$ 52,679,000
	Staff Benefits	\$ 16,380,000	\$ 159,000	\$ 11,000	\$ 354,000	\$ 16,904,000
	Services, Supplies, Materials, & Equip.	\$ 2,107,000	\$ 1,182,000	\$ 205,000	\$ 2,849,000	\$ 6,343,000
	Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ 480,000	\$ 480,000
	Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -
	Utilities	\$ -	\$ -	\$ 2,000	\$ -	\$ 2,000
	Other Expenses	\$ 632,000	\$ -	\$ -	\$ -	\$ 632,000
Expenses Total		\$ 69,848,000	\$ 1,831,000	\$ 261,000	\$ 5,100,000	\$ 77,040,000
Net Transfers		\$ (101,000)	\$ -	\$ 261,000	\$ -	\$ 160,000
Change in Fund Balance		\$ 27,000	\$ -	\$ -	\$ (14,000)	\$ 13,000

College of Business		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues	State Appropriation, Tuition, & Fees	\$ 29,229,000	\$ 1,043,000	\$ -	\$ -	\$ 30,272,000
	Sales & Services	\$ -	\$ 244,000	\$ -	\$ -	\$ 244,000
	Patent Services	\$ -	\$ -	\$ -	\$ -	\$ -
	Contracts & Grants	\$ -	\$ 67,000	\$ -	\$ -	\$ 67,000
	Gifts & Investments	\$ -	\$ -	\$ -	\$ 1,565,000	\$ 1,565,000
	Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total		\$ 29,229,000	\$ 1,354,000	\$ -	\$ 1,565,000	\$ 32,148,000
Expenses	Salaries and Wages	\$ 21,079,000	\$ 700,000	\$ -	\$ 1,103,000	\$ 22,882,000
	Staff Benefits	\$ 6,832,000	\$ 212,000	\$ 15,000	\$ 276,000	\$ 7,335,000
	Services, Supplies, Materials, & Equip.	\$ 1,196,000	\$ 372,000	\$ -	\$ 928,000	\$ 2,496,000
	Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -
	Utilities	\$ -	\$ -	\$ -	\$ -	\$ -
	Other Expenses	\$ 123,000	\$ -	\$ -	\$ -	\$ 123,000
Expenses Total		\$ 29,230,000	\$ 1,284,000	\$ 15,000	\$ 2,307,000	\$ 32,836,000
Net Transfers		\$ -	\$ -	\$ 15,000	\$ -	\$ 15,000
Change in Fund Balance		\$ 70,000	\$ -	\$ -	\$ 129,000	\$ 199,000

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

College of Fine and Applied Arts		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues						
State Appropriation, Tuition, & Fees	\$ 24,450,000	\$ 810,000	\$ -	\$ -	\$ -	\$ 25,260,000
Sales & Services	\$ -	\$ 36,000	\$ -	\$ -	\$ -	\$ 36,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ 417,000	\$ 417,000
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ 464,000	\$ 464,000
Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total	\$ 24,450,000	\$ 846,000	\$ -	\$ -	\$ 881,000	\$ 26,177,000
Expenses						
Salaries and Wages	\$ 17,520,000	\$ 168,000	\$ 2,000	\$ -	\$ 185,000	\$ 17,875,000
Staff Benefits	\$ 6,355,000	\$ 53,000	\$ 1,000	\$ -	\$ 46,000	\$ 6,455,000
Services, Supplies, Materials, & Equip.	\$ 576,000	\$ 647,000	\$ 31,000	\$ -	\$ 650,000	\$ 1,904,000
Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses Total	\$ 24,451,000	\$ 868,000	\$ 34,000	\$ -	\$ 881,000	\$ 26,234,000
Net Transfers	\$ -	\$ -	\$ 34,000	\$ -	\$ -	\$ 34,000
Change in Fund Balance	\$ -	\$ (22,000)	\$ -	\$ -	\$ -	\$ (22,000)
School of Music						
		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues						
State Appropriation, Tuition, & Fees	\$ 7,463,000	\$ 383,000	\$ -	\$ -	\$ -	\$ 7,846,000
Sales & Services	\$ -	\$ 486,000	\$ -	\$ -	\$ -	\$ 486,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ 4,000	\$ -	\$ -	\$ 243,000	\$ 247,000
Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total	\$ 7,463,000	\$ 873,000	\$ -	\$ -	\$ 243,000	\$ 8,579,000
Expenses						
Salaries and Wages	\$ 5,483,000	\$ 231,000	\$ -	\$ -	\$ 33,000	\$ 5,747,000
Staff Benefits	\$ 1,730,000	\$ 71,000	\$ -	\$ -	\$ 8,000	\$ 1,809,000
Services, Supplies, Materials, & Equip.	\$ 250,000	\$ 559,000	\$ -	\$ -	\$ 202,000	\$ 1,011,000
Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses Total	\$ 7,463,000	\$ 861,000	\$ -	\$ -	\$ 243,000	\$ 8,567,000
Net Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Fund Balance	\$ -	\$ 12,000	\$ -	\$ -	\$ -	\$ 12,000

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	Financial Aid		General Fund		Auxiliary & Other Trust Funds		Overhead/F&A Receipts		Restricted Trust Funds		Total
	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	
Financial Aid											
Revenues											
State Appropriation, Tuition, & Fees	\$		18,504,000	\$		120,000	\$				18,624,000
Sales & Services	\$										
Patient Services	\$										
Contracts & Grants	\$										45,290,000
Gifts & Investments	\$										4,508,000
Other Revenues	\$										
Revenues Total	\$		18,504,000	\$		120,000	\$				68,422,000
Expenses											
Salaries and Wages	\$	2,086,000	\$	68,000	\$		\$		740,000	\$	2,894,000
Staff Benefits	\$	846,000	\$	30,000	\$		\$		52,000	\$	928,000
Services, Supplies, Materials, & Equip.	\$	205,000	\$	22,000	\$		\$		101,000	\$	328,000
Scholarships & Fellowships	\$	15,366,000	\$	3,222,000	\$		\$		48,905,000	\$	67,493,000
Debt Service	\$		\$		\$		\$			\$	
Utilities	\$		\$		\$		\$			\$	
Other Expenses	\$		\$		\$		\$			\$	
Expenses Total	\$		18,503,000	\$	3,342,000	\$		\$	49,798,000	\$	71,643,000
Net Transfers	\$		\$		\$	3,222,000	\$		\$		3,222,000
Change in Fund Balance	\$		\$		\$		\$		\$		
Library											
Revenues											
State Appropriation, Tuition, & Fees	\$	12,983,000	\$	110,000	\$		\$			\$	13,093,000
Sales & Services	\$	47,000	\$		\$		\$			\$	47,000
Patient Services	\$		\$		\$		\$			\$	
Contracts & Grants	\$		\$		\$		\$			\$	39,000
Gifts & Investments	\$		\$		\$		\$			\$	217,000
Other Revenues	\$	48,000	\$		\$		\$			\$	48,000
Revenues Total	\$	13,078,000	\$	110,000	\$		\$			\$	13,444,000
Expenses											
Salaries and Wages	\$	6,491,000	\$		\$		\$		12,000	\$	6,503,000
Staff Benefits	\$	2,203,000	\$		\$		\$		3,000	\$	2,206,000
Services, Supplies, Materials, & Equip.	\$	4,172,000	\$	145,000	\$		\$		241,000	\$	4,558,000
Scholarships & Fellowships	\$		\$		\$		\$			\$	
Debt Service	\$		\$		\$		\$			\$	
Utilities	\$		\$		\$		\$			\$	
Other Expenses	\$	211,000	\$		\$		\$			\$	211,000
Expenses Total	\$	13,077,000	\$	145,000	\$		\$		256,000	\$	13,478,000
Net Transfers	\$		\$		\$	35,000	\$		\$		35,000
Change in Fund Balance	\$		\$		\$		\$		\$		

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

Sponsored Research		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues	State Appropriation, Tuition, & Fees	\$ 2,274,000	\$ -	\$ -	\$ -	\$ 2,274,000
	Sales & Services	\$ -	\$ -	\$ -	\$ -	\$ -
	Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
	Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
	Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -
	Other Revenues	\$ -	\$ -	\$ 3,000,000	\$ -	\$ 3,000,000
Revenues Total		\$ 2,274,000	\$ -	\$ 3,000,000	\$ -	\$ 5,274,000
Expenses	Salaries and Wages	\$ 1,681,000	\$ -	\$ 943,000	\$ -	\$ 2,624,000
	Staff Benefits	\$ 544,000	\$ -	\$ 236,000	\$ -	\$ 780,000
	Services, Supplies, Materials, & Equip.	\$ 49,000	\$ -	\$ 278,000	\$ -	\$ 327,000
	Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -
	Utilities	\$ -	\$ -	\$ -	\$ -	\$ -
	Other Expenses	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses Total		\$ 2,274,000	\$ -	\$ 1,457,000	\$ -	\$ 3,731,000
Net Transfers		\$ -	\$ -	\$ (570,000)	\$ -	\$ (570,000)
Change in Fund Balance		\$ -	\$ -	\$ 973,000	\$ -	\$ 973,000
University Administration						
		General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues	State Appropriation, Tuition, & Fees	\$ 13,310,000	\$ -	\$ -	\$ -	\$ 13,310,000
	Sales & Services	\$ -	\$ -	\$ -	\$ 459,000	\$ 459,000
	Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
	Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
	Gifts & Investments	\$ -	\$ -	\$ -	\$ 2,537,000	\$ 2,537,000
	Other Revenues	\$ 2,441,000	\$ -	\$ -	\$ -	\$ 2,441,000
Revenues Total		\$ 15,751,000	\$ 1,345,000	\$ -	\$ 2,996,000	\$ 20,092,000
Expenses	Salaries and Wages	\$ 8,520,000	\$ 308,000	\$ -	\$ 1,155,000	\$ 9,983,000
	Staff Benefits	\$ 3,075,000	\$ 121,000	\$ -	\$ 289,000	\$ 3,485,000
	Services, Supplies, Materials, & Equip.	\$ 3,334,000	\$ 916,000	\$ -	\$ 1,874,000	\$ 6,124,000
	Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -
	Utilities	\$ -	\$ -	\$ -	\$ -	\$ -
	Other Expenses	\$ 822,000	\$ -	\$ -	\$ -	\$ 822,000
Expenses Total		\$ 15,751,000	\$ 1,345,000	\$ -	\$ 3,318,000	\$ 20,414,000
Net Transfers		\$ -	\$ -	\$ -	\$ -	\$ -
Change in Fund Balance		\$ -	\$ -	\$ -	\$ (322,000)	\$ (322,000)

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	Business Affairs		General Fund		Auxiliary & Other Trust Funds		Overhead/F&A Receipts		Restricted Trust Funds		Total
	General Fund	Auxiliary & Other Trust Funds	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds			
Revenues											
State Appropriation, Tuition, & Fees	\$ 12,227,000	\$ 1,305,000	\$ 1,305,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,532,000	
Sales & Services	\$ 400,000	\$ 1,527,000	\$ 1,527,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,927,000	
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Contracts & Grants	\$ 53,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 53,000	
Gifts & Investments	\$ -	\$ 2,172,000	\$ 2,172,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,172,000	
Other Revenues	\$ 1,261,000	\$ 311,000	\$ 311,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,386,000	
Revenues Total	\$ 13,941,000	\$ 5,315,000	\$ 13,941,000	\$ 814,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 20,070,000	
Expenses											
Salaries and Wages	\$ 6,956,000	\$ 114,000	\$ 114,000	\$ 42,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,112,000	
Staff Benefits	\$ 2,450,000	\$ 40,000	\$ 40,000	\$ 11,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,501,000	
Services, Supplies, Materials, & Equip.	\$ 3,696,000	\$ 1,364,000	\$ 1,364,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,060,000	
Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Utilities	\$ -	\$ 24,000	\$ 24,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24,000	
Other Expenses	\$ 839,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 839,000	
Expenses Total	\$ 13,941,000	\$ 1,542,000	\$ 15,422,000	\$ 53,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,536,000	
Net Transfers	\$ -	\$ (1,641,000)	\$ (1,641,000)	\$ 53,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,588,000)	
Change in Fund Balance	\$ -	\$ 2,132,000	\$ 2,132,000	\$ -	\$ -	\$ 814,000	\$ -	\$ -	\$ -	\$ 2,946,000	
Facilities											
			General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total				
Revenues											
State Appropriation, Tuition, & Fees	\$ 31,835,000	\$ -	\$ 8,975,000	\$ -	\$ -	\$ -	\$ 31,835,000				
Sales & Services	\$ 2,321,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,296,000				
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
Other Revenues	\$ 82,000	\$ -	\$ 376,000	\$ -	\$ -	\$ -	\$ 458,000				
Revenues Total	\$ 34,238,000	\$ -	\$ 9,351,000	\$ -	\$ -	\$ -	\$ 43,589,000				
Expenses											
Salaries and Wages	\$ 12,738,000	\$ 924,000	\$ 924,000	\$ -	\$ -	\$ -	\$ 13,662,000				
Staff Benefits	\$ 6,044,000	\$ 344,000	\$ 344,000	\$ -	\$ -	\$ -	\$ 6,388,000				
Services, Supplies, Materials, & Equip.	\$ 4,248,000	\$ 2,990,000	\$ 2,990,000	\$ -	\$ -	\$ -	\$ 7,238,000				
Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
Debt Service	\$ 2,052,000	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ 2,252,000				
Utilities	\$ 8,546,000	\$ 2,603,000	\$ 2,603,000	\$ -	\$ -	\$ -	\$ 11,149,000				
Other Expenses	\$ 659,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 659,000				
Expenses Total	\$ 34,287,000	\$ 7,061,000	\$ 41,348,000	\$ -	\$ -	\$ -	\$ 48,416,000				
Net Transfers	\$ 48,000	\$ (2,761,000)	\$ (2,713,000)	\$ -	\$ -	\$ -	\$ (2,713,000)				
Change in Fund Balance	\$ -	\$ (471,000)	\$ (471,000)	\$ -	\$ -	\$ -	\$ (471,000)				

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	Public Safety		General Fund		Auxiliary & Other Trust Funds		Overhead/F&A Receipts		Restricted Trust Funds		Total
	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	Revenues	Expenses	
Public Safety											
Revenues			6,395,000				268,000				6,663,000
State Appropriation, Tuition, & Fees	\$	\$					89,000				89,000
Patient Services	\$	\$									
Contracts & Grants	\$	\$									
Gifts & Investments	\$	\$									
Other Revenues	\$	\$	1,634,000				31,000				1,665,000
Revenues Total			8,029,000				388,000				8,417,000
Expenses											
Salaries and Wages	\$	\$	4,506,000				264,000				4,770,000
Staff Benefits	\$	\$	2,158,000				17,000				2,175,000
Services, Supplies, Materials, & Equip.	\$	\$	938,000				97,000				1,035,000
Scholarships & Fellowships	\$	\$									
Debt Service	\$	\$									
Utilities	\$	\$									
Other Expenses	\$	\$	427,000								427,000
Expenses Total			8,029,000				378,000				8,407,000
Net Transfers											
-	\$	\$					5,000				5,000
Change in Fund Balance							15,000				15,000
Advancement											
Revenues			5,055,000								5,055,000
State Appropriation, Tuition, & Fees	\$	\$					75,000				75,000
Sales & Services	\$	\$									
Patient Services	\$	\$									
Contracts & Grants	\$	\$									
Gifts & Investments	\$	\$									
Other Revenues	\$	\$	192,000				45,000				237,000
Revenues Total			5,247,000				120,000				5,367,000
Expenses											
Salaries and Wages	\$	\$	3,820,000								3,820,000
Staff Benefits	\$	\$	1,231,000								1,231,000
Services, Supplies, Materials, & Equip.	\$	\$	149,000				25,000				174,000
Scholarships & Fellowships	\$	\$					65,000				65,000
Debt Service	\$	\$									
Utilities	\$	\$									
Other Expenses	\$	\$	47,000								47,000
Expenses Total			5,247,000				90,000				5,337,000
Net Transfers											
-	\$	\$					(45,000)				(45,000)
Change in Fund Balance							(15,000)				(15,000)

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Dining					
Beginning Fund Balance	\$ 11,228,000	\$ -	\$ -	\$ -	\$ 11,228,000
Revenues					
State Appropriation, Tuition, & Fees	\$ -	\$ 1,464,000	\$ -	\$ -	\$ 1,464,000
Sales & Services	\$ -	\$ 36,522,000	\$ -	\$ -	\$ 36,522,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -
Other Revenues	\$ -	\$ 200,000	\$ -	\$ -	\$ 200,000
Revenues Total	\$ -	\$ 38,186,000	\$ -	\$ -	\$ 38,186,000
Expenses					
Salaries and Wages	\$ -	\$ 7,987,000	\$ -	\$ -	\$ 7,987,000
Staff Benefits	\$ -	\$ 3,026,000	\$ -	\$ -	\$ 3,026,000
Services, Supplies, Materials, & Equip.	\$ -	\$ 14,300,000	\$ -	\$ -	\$ 14,300,000
Scholarships & Fellowships	\$ -	\$ 351,000	\$ -	\$ -	\$ 351,000
Debt Service	\$ -	\$ 1,464,000	\$ -	\$ -	\$ 1,464,000
Utilities	\$ -	\$ 971,000	\$ -	\$ -	\$ 971,000
Other Expenses	\$ -	\$ 860,000	\$ -	\$ -	\$ 860,000
Expenses Total	\$ -	\$ 28,959,000	\$ -	\$ -	\$ 28,959,000
Net Transfers	\$ -	\$ (16,290,000)	\$ -	\$ -	\$ (16,290,000)
Change in Fund Balance	\$ -	\$ (7,063,000)	\$ -	\$ -	\$ (7,063,000)
Ending Fund Balance	\$ -	\$ 4,165,000	\$ -	\$ -	\$ 4,165,000
Housing					
Beginning Fund Balance	\$ 16,264,000	\$ -	\$ -	\$ -	\$ 16,264,000
Revenues					
State Appropriation, Tuition, & Fees	\$ -	\$ 507,000	\$ -	\$ -	\$ 507,000
Sales & Services	\$ -	\$ 34,575,000	\$ -	\$ -	\$ 34,575,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -
Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total	\$ -	\$ 35,082,000	\$ -	\$ -	\$ 35,082,000
Expenses					
Salaries and Wages	\$ -	\$ 7,102,000	\$ -	\$ -	\$ 7,102,000
Staff Benefits	\$ -	\$ 2,687,000	\$ -	\$ -	\$ 2,687,000
Services, Supplies, Materials, & Equip.	\$ -	\$ 11,061,000	\$ -	\$ -	\$ 11,061,000
Scholarships & Fellowships	\$ -	\$ 186,000	\$ -	\$ -	\$ 186,000
Debt Service	\$ -	\$ 8,746,000	\$ -	\$ -	\$ 8,746,000
Utilities	\$ -	\$ 4,615,000	\$ -	\$ -	\$ 4,615,000
Other Expenses	\$ -	\$ 890,000	\$ -	\$ -	\$ 890,000
Expenses Total	\$ -	\$ 35,287,000	\$ -	\$ -	\$ 35,287,000
Net Transfers	\$ -	\$ (1,048,000)	\$ -	\$ -	\$ (1,048,000)
Change in Fund Balance	\$ -	\$ (1,253,000)	\$ -	\$ -	\$ (1,253,000)
Ending Fund Balance	\$ -	\$ 15,011,000	\$ -	\$ -	\$ 15,011,000

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Parking & Transportation					
Beginning Fund Balance	\$ 4,191,000	\$ -	\$ -	\$ -	\$ 4,191,000
Revenues					
State Appropriation, Tuition, & Fees	\$ -	\$ 3,050,000	\$ -	\$ -	\$ 3,050,000
Sales & Services	\$ -	\$ 4,640,000	\$ -	\$ -	\$ 4,640,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -
Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total	\$ -	\$ 7,690,000	\$ -	\$ -	\$ 7,690,000
Expenses					
Salaries and Wages	\$ -	\$ 1,020,000	\$ -	\$ -	\$ 1,020,000
Staff Benefits	\$ -	\$ 535,000	\$ -	\$ -	\$ 535,000
Services, Supplies, Materials, & Equip.	\$ -	\$ 3,399,000	\$ -	\$ -	\$ 3,399,000
Scholarships & Fellowships	\$ -	\$ -	\$ -	\$ -	\$ -
Debt Service	\$ -	\$ 1,695,000	\$ -	\$ -	\$ 1,695,000
Utilities	\$ -	\$ 57,000	\$ -	\$ -	\$ 57,000
Other Expenses	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses Total	\$ -	\$ 6,706,000	\$ -	\$ -	\$ 6,706,000
Net Transfers	\$ -	\$ (1,440,000)	\$ -	\$ -	\$ (1,440,000)
Change in Fund Balance	\$ -	\$ (456,000)	\$ -	\$ -	\$ (456,000)
Ending Fund Balance	\$ -	\$ 3,735,000	\$ -	\$ -	\$ 3,735,000
Athletics					
Beginning Fund Balance	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues					
State Appropriation, Tuition, & Fees	\$ -	\$ 20,987,000	\$ -	\$ -	\$ 20,987,000
Sales & Services	\$ -	\$ 13,155,000	\$ -	\$ -	\$ 13,155,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ 9,777,000	\$ -	\$ -	\$ 9,777,000
Other Revenues	\$ -	\$ 3,258,000	\$ -	\$ -	\$ 3,258,000
Revenues Total	\$ -	\$ 47,177,000	\$ -	\$ -	\$ 49,187,000
Expenses					
Salaries and Wages	\$ -	\$ 13,695,000	\$ -	\$ -	\$ 13,695,000
Staff Benefits	\$ -	\$ 3,424,000	\$ -	\$ -	\$ 3,424,000
Services, Supplies, Materials, & Equip.	\$ -	\$ 15,204,000	\$ -	\$ -	\$ 16,369,000
Scholarships & Fellowships	\$ -	\$ 9,000,000	\$ -	\$ -	\$ 9,000,000
Debt Service	\$ -	\$ 5,539,000	\$ -	\$ -	\$ 5,839,000
Utilities	\$ -	\$ 305,000	\$ -	\$ -	\$ 350,000
Other Expenses	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses Total	\$ -	\$ 47,167,000	\$ -	\$ -	\$ 49,177,000
Net Transfers	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Fund Balance	\$ -	\$ 10,000	\$ -	\$ -	\$ 10,000
Ending Fund Balance	\$ -	\$ 10,000	\$ -	\$ -	\$ 10,000

Appalachian State University - Unit Breakout
 FY 2025 26 All-Funds Budget

Student Health	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Beginning Fund Balance	\$ 4,468,000	\$ -	\$ -	\$ -	\$ 4,468,000
Revenues					
State Appropriation, Tuition, & Fees	\$ -	\$ 7,208,000	\$ -	\$ -	\$ 7,208,000
Sales & Services	\$ -	\$ 199,000	\$ -	\$ -	\$ 199,000
Patient Services	\$ -	\$ 726,000	\$ -	\$ -	\$ 726,000
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -
Other Revenues	\$ -	\$ -	\$ -	\$ -	\$ -
Revenues Total	\$ -	\$ 8,133,000	\$ -	\$ -	\$ 8,133,000
Expenses					
Salaries and Wages	\$ -	\$ 4,781,000	\$ -	\$ -	\$ 4,781,000
Staff Benefits	\$ -	\$ 1,799,000	\$ -	\$ -	\$ 1,799,000
Services, Supplies, Materials, & Equip.	\$ -	\$ 1,311,000	\$ -	\$ -	\$ 1,311,000
Scholarships & Fellowships	\$ -	\$ 20,000	\$ -	\$ -	\$ 20,000
Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -
Utilities	\$ -	\$ 70,000	\$ -	\$ -	\$ 70,000
Other Expenses	\$ -	\$ 128,000	\$ -	\$ -	\$ 128,000
Expenses Total	\$ -	\$ 8,109,000	\$ -	\$ -	\$ 8,109,000
Net Transfers	\$ -	\$ (751,000)	\$ -	\$ -	\$ (751,000)
Change in Fund Balance	\$ (727,000)	\$ -	\$ -	\$ -	\$ (727,000)
Ending Fund Balance	\$ 3,741,000	\$ -	\$ -	\$ -	\$ 3,741,000
Other Auxiliaries					
Revenues					
State Appropriation, Tuition, & Fees	\$ 2,712,000.00	\$ 12,478,000	\$ -	\$ -	\$ 15,190,000
Sales & Services	\$ -	\$ 11,745,000	\$ -	\$ -	\$ 11,745,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ 228,000	\$ -	\$ -	\$ 228,000
Other Revenues	\$ 82,000	\$ 854,000	\$ -	\$ -	\$ 936,000
Revenues Total	\$ 2,794,000	\$ 25,305,000	\$ -	\$ -	\$ 28,099,000
Expenses					
Salaries and Wages	\$ 1,760,000	\$ 6,624,000	\$ -	\$ -	\$ 8,384,000
Staff Benefits	\$ 629,000	\$ 2,221,000	\$ -	\$ -	\$ 2,850,000
Services, Supplies, Materials, & Equip.	\$ 375,000	\$ 15,609,000	\$ -	\$ -	\$ 15,984,000
Scholarships & Fellowships	\$ -	\$ 650,000	\$ -	\$ -	\$ 650,000
Debt Service	\$ -	\$ 469,000	\$ -	\$ -	\$ 469,000
Utilities	\$ -	\$ 297,000	\$ -	\$ -	\$ 297,000
Other Expenses	\$ 29,000	\$ 291,000	\$ -	\$ -	\$ 320,000
Expenses Total	\$ 2,793,000	\$ 26,161,000	\$ -	\$ -	\$ 28,954,000
Net Transfers	\$ -	\$ 1,359,000	\$ -	\$ -	\$ 1,359,000
Change in Fund Balance	\$ 503,000	\$ -	\$ -	\$ -	\$ 503,000

Appalachian State University - Unit Breakout
 FY 2025-26 All-Funds Budget

	New River Light & Power	General Fund	Auxiliary & Other Trust Funds	Overhead/F&A Receipts	Restricted Trust Funds	Total
Revenues						
State Appropriation, Tuition, & Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Sales & Services	\$ -	\$ -	\$ 27,002,000	\$ -	\$ -	\$ 27,002,000
Patient Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Contracts & Grants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts & Investments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Revenues	\$ -	\$ -	\$ 494,000	\$ -	\$ -	\$ 494,000
Revenues Total	\$ -	\$ -	\$ 27,496,000	\$ -	\$ -	\$ 27,496,000
Expenses						
Salaries and Wages	\$ -	\$ -	\$ 2,228,000	\$ -	\$ -	\$ 2,228,000
Staff Benefits	\$ -	\$ -	\$ 891,000	\$ -	\$ -	\$ 891,000
Services, Supplies, Materials, & Equip.	\$ -	\$ -	\$ 17,552,000	\$ -	\$ -	\$ 17,552,000
Scholarships & Fellowships	\$ -	\$ -	\$ 68,000	\$ -	\$ -	\$ 68,000
Debt Service	\$ -	\$ -	\$ 685,000	\$ -	\$ -	\$ 685,000
Utilities	\$ -	\$ -	\$ 33,000	\$ -	\$ -	\$ 33,000
Other Expenses	\$ -	\$ -	\$ 1,873,000	\$ -	\$ -	\$ 1,873,000
Expenses Total	\$ -	\$ -	\$ 23,330,000	\$ -	\$ -	\$ 23,330,000
Net Transfers	\$ -	\$ -	\$ (1,725,000)	\$ -	\$ -	\$ (1,725,000)
Change in Fund Balance	\$ -	\$ -	\$ 2,441,000	\$ -	\$ -	\$ 2,441,000

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – Fermentation Sciences Renovation \$150,000

The Fermentation Sciences Department from the College of Arts and Sciences, resides primarily in Mountain Laurel Hall in the kitchen space of the former hotel. This space has become inadequate for the program's recent expansion. This is a FY 23-24 carry forward funded project to convert a portion of the vending space into more academic space. The project will swap approximately 900 square feet of the vending space and be reconfigured into academic space for the department. The estimated cost of the renovation is \$150,000 and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes renovation of existing underutilized vending area in Mountain Laurel Residence Hall to create offices and student study/collaboration space for the Fermentation Sciences Department. Project will require new walls doors, finishes, electrical HVAC split system and a rework of existing fire sprinkler system

This project is not time sensitive. The work will involve shutting down the entire vending area of the residence hall for 3-4 weeks while new walls and electrical conduit are installed. The estimated construction start is May 2025 with a four-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University

Date: 2/14/25

Interscope Code:

Project Title: Fermentation Sciences Renovation

Interscope Item:

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Mountain Laurel Hall

2. Project Type: Misc. Other Construction

3a. Estimated Existing GSF: 900 SF

4a. Current Use: Dining

5. Land Ownership: State Owned (SCO is the AHJ)

3b. Estimated New or Additional GSF: N/A

4b. Proposed Use: Academic Support

6. Was Adv Planning authorized by legislation or BOT? No

BOT Authorization Date: _____

Date SD submitted to SCO: _____

7. Describe proposed project scope:

Renovate existing underutilized vending area in Mountain Laurel Residence Hall to create offices and student study/colaboration space for the Fermentaiton Sciences Department. Project will require new walls doors, finishes, electrical HVAC split system and a rework of existing fire sprinkler system.

8a. Current departments and programs:

Aux Services - Campus Dining

8b. Proposed departments and programs:

College of Arts and Science - Fermentaiton Sciences Department

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

Vending area will remain but will be reduced in overall size. Vending Area will likely need to be closed for 3 to 4 weeks while new wall/electrical is constructed.

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/25				150,000						
PREVIOUS AUTHORIZATION:		\$150,000	\$0	\$0		\$150,000		\$0		\$0		\$0
		100%	0%	0%		100%		0%		0%		0%
Incr. Authorization												
Change fund source(s)												
CHANGE IN AUTHORIZATION:		\$0	\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:		\$150,000	\$0	\$0		\$150,000		\$0		\$0		\$0
		100%	0%	0%		100%		0%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

23-24 Carry forward Funds (\$150,000)

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule:

Date project estimate prepared: _____

Estimated construction start date: _____

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – 2025 Campus Parking Repairs and Paving \$250,000

This is a parking receipt initiated and supported project to replace and upgrade approximately 55,000 square feet of paved parking areas across various locations across campus. Repairs are needed to several parking lots in order to keep them in usable condition. It is the desire to allocate some available funding each year from the parking and transportation fund to deal with the worst areas on campus and make upgrades to our parking areas. The estimated cost of the 2025 project is \$250,000 and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes the demolition of failing asphalt along with re-grading and drainage improvements to the base, subbase, and storm sewer systems. Additional site work and removal of unsuitable materials may be necessary as well as installation of new curb and gutters where appropriate. Once complete there will be placement of new asphalt by local contractor.

This project is not time sensitive. The work must occur during the summer months to limit the disruption of campus traffic as much as possible. The estimated construction start is May 2025 with a three-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University

Date: 02.06.2025

Interscope Code:

Project Title: 2025 Campus Paving

Interscope Item:

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Various On Campus Parking Lots 2. Project Type: Misc. Other Construction

3a. Estimated Existing GSF: 55,000 4a. Current Use: Parking/Transportation 5. Land Ownership: State Owned (SCO is the AHJ)

3b. Estimated New or Additional GSF: 0 4b. Proposed Use: Parking/Transportation

6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date : _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:

A project to repair damaged areas of paving in several campus parking lots. Repairs are needed to maintain lots in usable condition. Expected area for repair is 6,000 square yards. Work will include demolishing of existing pavement and subbase and replacing with new material. Work may include repair of concrete curbs and drainage inlets

8a. Current departments and programs:

Aux Services - Parking and Transportation

8b. Proposed departments and programs:

Aux Services - Parking and Transportation

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

N/A

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/25						250,000	Parking & Transporta			
PREVIOUS AUTHORIZATION:			\$250,000	\$0		\$0		\$250,000		\$0		\$0
			100%	0%		0%		100%		0%		0%
CHANGE IN AUTHORIZATION:			\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$250,000	\$0		\$0		\$250,000		\$0		\$0
			100%	0%		0%		100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Parking and Transportation Trust Fund = \$250,000

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – 2025 Parking Deck Maintenance/Repairs \$250,000

This is a parking and transportation fund initiated and supported project to conduct annual maintenance and repair of our fixed parking structures. Current year maintenance includes repairs to structural crack and leaking joints on the Rivers Street and Library parking decks. It is the desire to allocate some available funding each year from the parking and transportation fund to deal with the worst areas on campus and make upgrades to our parking decks. The estimated cost of the 2025 project is \$250,000 and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes assessment and repair the decks due to age and salt intrusion. This year's concentration is on cracking and expansion joint repair in our two oldest decks.

This project is not time sensitive. The work should occur during the summer months to limit the disruption of campus traffic as much as possible. The estimated construction start is May 2025 with a three-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 02.06.2025 Interscope Code: _____
 Project Title: 2025 Parking Deck Maintenance Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Rivers Street/Library Parking Decks 2. Project Type: Misc. Other Construction
 3a. Estimated Existing GSF: 79,000 4a. Current Use: Parking/Transportation 5. Land Ownership: State Owned (SCO is the AHJ)
 3b. Estimated New or Additional GSF: 0 4b. Proposed Use: Parking/Transportation
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date: _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:

Project scope is to perform annual maintenance required to maintain campus parking decks in usable condition for future service. Current year maintenance includes repairs to structural cracks and leaking joints on the Rivers Street and Library parking decks.

8a. Current departments and programs:

Aux Services - Parking & Transportation

8b. Proposed departments and programs:

Aux Services - Parking & Transportation

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

N/A

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project								250,000	Parking & Transporta			
PREVIOUS AUTHORIZATION:			\$250,000	\$0	\$0	\$0	\$0	\$250,000		\$0		\$0
			100%	0%	0%	0%	0%	100%		0%		0%
CHANGE IN AUTHORIZATION:			\$0	\$0	\$0	\$0	\$0	\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$250,000	\$0	\$0	\$0	\$0	\$250,000		\$0		\$0
			100%	0%	0%	0%	0%	100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Parking and Transportation Trust Fund

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – Student Recreation Center Lighting Controls Upgrade \$300,000

The existing lighting control system in the Student Recreation Center is original equipment to the building from 2006 and replacement components are no longer produced. This is a Student Service initiated, and student fee supported project to upgrade the lighting control system for the entire building. The project will swap out the lighting controls for approximately 117K square feet in the recreations center. The estimated cost of the renovation is \$300,000 and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes removal of the existing failed components and replacement with new integrated lighting controls system. The work will also include some limited rewiring of the building to support the new controls system.

This project is not time sensitive. The work will involve shutting down portions of the building so the best time is during the summer. The estimated construction start is May 2025 with a three-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University

Date: 02.07.2025

Interscope Code: _____

Project Title: Student Recreation Center Lighting Control Upgrade

Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Student Recreation Center

2. Project Type: Misc. Other Construction

3a. Estimated Existing GSF: 116,900

4a. Current Use: Student Services

5. Land Ownership: State Owned (SCO is the AHJ)

3b. Estimated New or Additional GSF: 0

4b. Proposed Use: Student Services

6. Was Adv Planning authorized by legislation or BOT? No

BOT Authorization Date : _____

Date SD submitted to SCO: _____

7. Describe proposed project scope:

The existing lighting control system is original equipment. Components are failing and needed replacement parts are not available. The system needs to be replaced with a new system compliant with lighting codes. Work will consist of a new lighting control system along with some minor rewiring of circuits

8a. Current departments and programs:

UREC- Student Services

8b. Proposed departments and programs:

UREC- Student Services

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/2025								300,000	Other Student Fees	
PREVIOUS AUTHORIZATION:			\$300,000	\$0		\$0		\$0		\$300,000		\$0
			100%	0%		0%		0%		100%		0%
Incr. Authorization												
Change fund source(s)												
CHANGE IN AUTHORIZATION:			\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$300,000	\$0		\$0		\$0		\$300,000		\$0
			100%	0%		0%		0%		100%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Other Student Fees = \$300,000

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule: _____

Date project estimate prepared: _____

2/11/2025

Estimated construction start date: _____

5/12/2025

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – Kidd Brewer Stadium Miller Hill Upgrade - \$349K

The south endzone portion of Kidd Brewer Stadium, known as Miller Hill, was significantly damaged during the last football season. To prevent the continued cycle of damage, Athletics is initiating a project to reshape and enhance Miller Hill, so it is a safer gameday experience. This is an Athletics receipt supported project to make improve the overall conditions on Miller Hill. The estimated cost of the renovation is \$349,000 and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The project begins with a thorough evaluation of the existing conditions and a comparison to other similar football facilities. The scope of work will include the removal of topsoil, installation of numerous retaining walls, stairs, drainage system and soil stabilization materials on the areas for attendees.

This project is time sensitive. The work will involve shutting down portions of the stadium, so the best time is during football offseason. The estimated construction start is May 2025 with a three-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University

Date: 2/13/25

Interscope Code: _____

Project Title: Miller Hill Enhancement

Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Kidd Brewer Stadium

2. Project Type: Misc. Other Construction

3a. Estimated Existing GSF: N/A

4a. Current Use: Athletics

5. Land Ownership: State Owned (SCO is the AHJ)

3b. Estimated New or Additional GSF: N/A

4b. Proposed Use: Athletics

6. Was Adv Planning authorized by legislation or BOT? No

BOT Authorization Date: _____

Date SD submitted to SCO: _____

7. Describe proposed project scope:

The project will include the evaluation and refurbishment of Miller Hill in order to provide a safer, a more maintainable, and better quality spectator viewing area. This work will include the removal

8a. Current departments and programs:

Athletics

8b. Proposed departments and programs:

Athletics

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

N/A

10. Is project incl. in the 6-year capital plan? No

If so, indicate version: _____

Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/25						350,000	Athletics			
PREVIOUS AUTHORIZATION:		\$350,000	\$0	\$0		\$0		\$350,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%
Incr. Authorization												
Change fund source(s)												
CHANGE IN AUTHORIZATION:		\$0	\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:		\$350,000	\$0	\$0		\$0		\$350,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Trust Funds -Athletics-Receipts from ticket sales, gifts, donations, cash reserves, including Athletics Foundation reserves = \$350,000

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
\$100,000	Trust Funds	\$100,000	Trust Funds	\$100,000	Trust Funds	\$100,000	Trust Funds	\$100,000	Trust Funds

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
\$2,500	Trust Funds	\$2,500	Trust Funds	\$2,800	Trust Funds	\$3,000	Trust Funds	\$3,000	Trust Funds

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule: _____

Date project estimate prepared: 2/13/2025

Estimated construction start date: 4/1/2025

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – New Authorization for Wey Hall Steam Vault Replacement \$500K

This is a Steam Utility Trust Fund supported project to replace a section of deteriorated steam infrastructure between Wey Hall and Newland Hall. The below grade steam vault is severely damaged due to years of road salt intrusion from the roadway. Its failure is imminent and without repair, the Wey Hall academic building could lose all heating/cooling and hot water. The Steam Trust Fund currently has a cash balance of \$6M and this is an appropriate use of that fund. The estimated cost of the renovation is \$500K and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes the following: (1) Demolition of existing steam vault and replacement of new steam vault in the same area. (2) Associated electrical and plumbing connections to bring the new system online and replacement of any disturbed paving, sidewalks and landscaping.

This project is not time sensitive but should be done during a non-academic period due to the disruption of the heavily used walkway. With approval, design will begin immediately with a goal of starting construction in May 2025. Construction will last approximately 8-12 weeks.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 1/22/25 Interscope Code: _____
 Project Title: Newland Hall/Wey Hall Steam Vault ST44-09 Replacement Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Newland/Wey Hall Steam Vault ST44-09 2. Project Type: Misc. Other Construction
 3a. Estimated Existing GSF: 142 4a. Current Use: Other 5. Land Ownership: State Owned (SCO is the AHJ)
 3b. Estimated New or Additional GSF: 0 4b. Proposed Use: Other
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date: _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:
 Removal and replacement of a structurally deficient below-grade steam vault. The steam vault serves the campus distribution service and the branch service to Wey Hall. Work will include shoring the existing manhole, and pouring new reinforced concrete walls and tops

8a. Current departments and programs:
 Facilities Management - Campus steam system

8b. Proposed departments and programs:
 Facilities Management - Campus steam system

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.
 Removal and replacement of a structurally deficient below-grade steam vault. The steam vault serves the campus distribution service and the branch service to Newland and Wey Hall. Design is estimated to start March 2025, construction start May 2025 and end August 2025.

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: Wey Hall Steam Vault ST44-09 Replacement

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/2025						499,000	Utility			
PREVIOUS AUTHORIZATION:			\$499,000	\$0	\$0	\$0	\$0	\$499,000		\$0		\$0
			100%	0%	0%	0%	0%	100%		0%		0%
Incr. Authorization												
Change fund source(s)												
CHANGE IN AUTHORIZATION:			\$0	\$0	\$0	\$0	\$0	\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$499,000	\$0	\$0	\$0	\$0	\$499,000		\$0		\$0
			100%	0%	0%	0%	0%	100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Steam Utility Trust Fund

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

C. TOTAL ESTIMATED PROJECT BUDGET

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item –Appalachian House Additional Renovation \$500K

This is private donor funded authorization to purchase new furniture/equipment/landscaping and a new sound/security system in the Appalachian House as well as do minor updates to the finishes in those areas to match the furniture. This funding will also support minor updates by request from the Chancellor in the public areas. This project will only affect about 4100 sf in the facility. The Appalachian House is an 11,761 square foot mixed use state building that was built in 2002 and has a current value assessed at \$5.3M. The estimated cost of the project is \$500,000 and falls within the approval threshold of the Appalachian State University Board of Trustees.

In addition to new furniture throughout the house, updates will be made to the residential area based on feedback and desires from the Chancellor. The second-floor master suite will get a complete refresh along with paint and carpet. We will refinish wood flooring throughout the second-floor area and repaint along with replacing worn furniture. Additionally, the mechanical, electrical, and plumbing along with the security system need extensive updates.

This project is time sensitive. We would like to begin the work immediately Chancellor Norris is already appointed and desires to move in.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 02.06.2025 Interscope Code: _____
 Project Title: App House - 2nd Floor Renovation Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Appalachian House/Chancellor's Residence 2. Project Type: Misc. Other Construction
 3a. Estimated Existing GSF: 4,130 4a. Current Use: Other 5. Land Ownership: State Owned (SCO is the AHJ)
 3b. Estimated New or Additional GSF: 0 4b. Proposed Use: Other
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date : _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:

A donor funded project to purchase new furniture/equipment and a new sound system in the public and private space in the Chancellor's house as well as do minor updates to the finishes in those areas to match the furniture. This funding will also support minor updates by request from the permanent Chancellor once hired in the resident areas. This project will only affect about 4100 sf in the facility.

8a. Current departments and programs:

Chancellor's Office - Residence

8b. Proposed departments and programs:

Chancellor's Office - Residence

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

N/A

10. Is project incl. in the 6-year capital plan? Yes If so, indicate version: 2025-2030 Project Title: App House Renewal

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/25						500,000	Don/Gifts & Grants			
PREVIOUS AUTHORIZATION:		\$500,000	\$0	\$0		\$0		\$500,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%
CHANGE IN AUTHORIZATION:		\$0	\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:		\$500,000	\$0	\$0		\$0		\$500,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Don/Gifts & Grants - This project will be entirely funded by donor(s) specifically for the residential/private spaces in the App House

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
n/a		n/a		n/a		n/a		n/a	

Institution: Appalachian State University

Date: 02.06.2025

Interscope Code:

Project Title: App House - 2nd Floor Renovation

Interscope Item:

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule:

Date project estimate prepared: 01.16.2025

Estimated construction start date: 04.01.2025

Estimated construction completion date: 07.01.2025

Estimated Construction Costs:

Table with 2 columns: Description (01 General Requirements, 02 Existing Conditions, 31 Earthwork, 33 Utilities, 22 Plumbing, 23 Heating, Ventilating, and Air Conditioning (HVAC), 26 Electrical, 21 Fire Suppression, 27 Communications, 28 Electronic Safety and Security, 32 Exterior Improvements, 04 Masonry, 05 Metals, 03 Concrete) and Amount.

Table with 4 columns: Qty, Unit, Cost per Unit, Total. Includes rows for various construction items and a Subtotal of \$439,802. Also includes Bonds and Insurance (2.00%), Overhead and Profit (5.00%), and Total Estimated Construction Cost of \$470,588.

Bonds and Insurance
Overhead and Profit

Owner's Contingency (3% New Construction, 5% R&R)
Escalation Cost Increase (from date of estimate to midpoint of construction)

Table with 4 columns: Percent, Unit, Recommended Range, Total. Shows 5.00% for 3 months at 5.0% annually, resulting in a Total Estimated Escalation Cost of \$29,412.

Other Project Fees:

Advanced Planning
Design Services
Preconstruction Services
Commissioning
Special Inspections/Materials Testing/Geotechnical
Sustainability

Table with 4 columns: Percent, Recommended Range, Total. Shows various fee percentages (0.00%, 1%, 0.5%, 1.25%, 2%) and a Total Other Project Fees of \$0.

Other Project Fees (not included in construction contract above):

Land Purchase
Demolition
AV Equipment
Fixed Equipment
Movable Equipment
Other (specify)
Other (specify)

Table with 5 columns: Qty, Unit, Cost per Unit, Total, Total w/ Escalation. Shows various items with #DIV/0! and a Total Other Project Costs of \$0, leading to a TOTAL ESTIMATED PROJECT COSTS of \$500,000.

D. CERTIFICATION AND AUTHORIZATIONS

I certify that this capital improvement request, to the best of my knowledge, is true and correct and describes the permanent improvements necessary to construct and complete the project. I further certify that the capital improvement request has been duly authorized.

Signature of AVC for Facilities (for equivalent)

Nick Katers, AVC Facilities Management
Print name and title

12/11/2024
Date

I certify that the funds are available for the planning, design, construction, and operations and maintenance of the proposed project.

Signature of CFO (or equivalent)

John Adams, Interim CFO
Print name and title

12/11/2024
Date

CAPITAL BUDGET REQUESTS AND REVISIONS (To be completed after approval by campus capital budget office)

Budget Fund: Date Authorized by OSC: Date IBIS Budget Approved by OSBM:

IBIS Short Title: For revisions, date IBIS Budget Approved by OSBM:

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – NRLP Oak Grove Substation and Misc Repairs - \$748K

This is a Utility Trust Fund supported project to repair infrastructure damage to NRLP locations done by Hurricane Helene. The primary damage was done to the Oak Grove Substation when the location was covered with 8 inches storm debris and mud. NRLP will pay for the cost of the repairs and seek reimbursement through insurance, state funding, and FEMA reimbursement. The estimated cost of the renovation is \$748K and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes the following: (1) OAK GROVE SUBSTATION - Work to restore the area to pre-storm condition which broadly includes removal of at least 8" of mud infiltrated stone base and replacing with clean stone, repairing/resetting a number of chain link fence posts, removal and replacement of spalled transformer concrete pad and dike wall, repair/modify dike ledges and grating, repair grounding connections, and other minor work. (2) Work to improve the site to protect from future flooding which broadly includes removal of existing 42" drainpipe and replacement with dual 48"+ concrete pipe, new headwall, new and additional yard inlets, regrading and stone base, rework/new chain link fence. (3) 1915 GREENWAY DAM - Work to include demolition of old decorative metal fence with gate, install new metal decorative fence with gate, post concrete, and any associated site disturbance and reseeding/mulching. (3) ASU SWITCHGEAR DOORS - Replace large doors and frame on the south side due to corrosion and sagging.

This project is not time sensitive as the station is operational. With approval, design will begin immediately with a goal of starting construction in June 2025. Construction will last approximately nine months.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 2/7/25 Interscope Code: _____
 Project Title: NRLP Helene Site and Various Repairs Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): NRLP - Oak Grove Substation, 1915 Greenway Dam, and Main Switchgear Building 2. Project Type: Misc. Other Construction
 3a. Estimated Existing GSF: 0 4a. Current Use: Other 5. Land Ownership: State Owned (SCO is the AHJ)
 3b. Estimated New or Additional GSF: 0 4b. Proposed Use: Other
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date: _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:

Scope: OAK GROVE SUBSTATION (General Site Repairs) - Work to restore the area to pre-storm condition which broadly includes removal of at least 8" of mud infiltrated stone base and replacing with clean stone, repairing/resetting a number of chain link fence posts, removal and replacement of spalled transformer concrete pad and dike wall, repair/modify dike ledges and grating, repair grounding connections, and other minor work.
OAK GROVE SUBSTATION (Drainage Mitigation Repairs) - Work to improve the site to protect from future flooding which broadly includes removal of existing 42" drain pipe and replacement with dual 48"+ concrete pipe, new headwall, new and additional yard inlets, regrading and stone base, rework/new chain link fence, extending dike wall an additional 2.5' high, raising sump pump manhole height, any required permitting, and other minor work.
1915 GREENWAY DAM - Work to include demolition of old decorative metal fence with gate, install new metal decorative fence with gate, post concrete, and any associated site disturbance and reseeding/mulching.
ASU SWITCHGEAR DOORS - Replace large doors and frame on the south side due to corrosion and sagging.
FEMA INSPECTION SUMMARY - FEMA visited the impacted sites on 1/8/25 (Greenway) and 1/31/25 (Oak Grove) to assess and document the above noted damages to be repaired to pre-storm condition. The Oak Grove mitigation portion involving upsizing storm drainage and transformer wall height increase continues to be under further evaluation.

8a. Current departments and programs:

New River Light & Power - State owned public utility

8b. Proposed departments and programs:

New River Light & Power - State owned public utility

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

N/A

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: NRLP Helene Site and Various Repairs

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT	3/14/25						748,000	Utility			
PREVIOUS AUTHORIZATION:			\$748,000	\$0		\$0		\$748,000		\$0		\$0
			100%	0%		0%		100%		0%		0%
CHANGE IN AUTHORIZATION:			\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$748,000	\$0		\$0		\$748,000		\$0		\$0
			100%	0%		0%		100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule: _____ Date project estimate prepared: 2/7/2025
 Estimated construction start date: 6/15/2025
 Estimated construction completion date: 3/15/2026

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – Baseball Indoor Hitting/Pitching Facility - \$749K

Baseball is requesting an expansion of the practice area to include a new Indoor Hitting/Pitching Facility. This is an Athletics receipts and reserves supported project to construct a new pre-engineered metal building (PEMB) adjacent to the existing building improve their indoor practice capability. The estimated cost of the new construction is \$749K and falls within the approval threshold of the Appalachian State University Board of Trustees. A University of North Carolina Capital Project Authorization form is attached with additional details.

The scope of work includes construction of a new PEMB indoor hitting and pitching building adjacent to the existing practice building. Includes demolition of existing bull pen, relocation of storm drainage, and turf field modifications.

This project is time sensitive. The work will involve shutting down portions of the baseball stadium, so the best time is during baseball offseason. The estimated construction start is May 2025 with a seven-month duration.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 2/12/25 Interscope Code: _____
 Project Title: Baseball Indoor Hitting Expansion Interscope Item: _____

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Baseball Indoor Hitting Facility 2. Project Type: New Construction
 3a. Estimated Existing GSF: 0 4a. Current Use: Athletics
 3b. Estimated New or Additional GSF: 3,000 4b. Proposed Use: Athletics 5. Land Ownership: State Owned (SCO is the AHJ)
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date: _____ Date SD submitted to SCO: _____

7. Describe proposed project scope:

Construction of a new PEMB indoor hitting and pitching building adjacent to the existing indoor hitting and pitching building. Includes demolition of existing bull pen, relocation of storm drainage, and turf field modifications.

8a. Current departments and programs:

Athletics

8b. Proposed departments and programs:

Athletics

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

New PEMB will be used as an indoor hitting and pitching practice space and lab. Construction to start summer of 2025.

10. Is project incl. in the 6-year capital plan? No If so, indicate version: _____ Project Title: _____

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOT							749,000	Athletics			
PREVIOUS AUTHORIZATION:		\$749,000	\$0	\$0		\$0		\$749,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%
CHANGE IN AUTHORIZATION:		\$0	\$0	\$0		\$0		\$0		\$0		\$0
REV. TOTAL AUTHORIZATION:		\$749,000	\$0	\$0		\$0		\$749,000		\$0		\$0
		100%	0%	0%		0%		100%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Trust Funds -Athletics-Receipts from ticket sales, gifts, donations, cash reserves, including Athletics Foundation reserves.

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
N/A		N/A		N/A		N/A		N/A	

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
\$5,000	Trust Funds	\$5,000	Trust Funds	\$5,000	Trust Funds	\$7,000	Trust Funds	\$7,000	Trust Funds

C. TOTAL ESTIMATED PROJECT BUDGET

Proposed Construction Schedule: _____ Date project estimate prepared: 2/12/2025
 Estimated construction start date: 5/12/2025

Appalachian

STATE UNIVERSITY

Facilities Management

Dougherty Administration
438 Academy Street
ASU Box 32003
Boone, NC 28608-2003
(828) 262-6432

Date: March 14, 2025
To: Appalachian State University Board of Trustees
From: Nick Katers, Associate Vice Chancellor for Facilities Management
Re: Action Item – Increase Authorization for Peacock Hall Addition, from \$40 M to \$43.5M

This is donor gift supported request to increase the authorization for the addition on Peacock Hall from \$40M to \$43.5M. The increase is needed to complete the buildout and finishes for the third floor of the addition. In the FY 2021-22 legislative session, App State was given a state appropriation in the amount of \$40M to build an addition. After some significant design work, we realized the inflation in the labor and materials areas would increase the project cost to \$43.5M. This authorization increase request falls within the approval authority of the UNC Board of Governors and if approved today will be presented at the April Board of Governors meeting. The attached UNC Capital Project Authorization Form includes the estimated cost for the overall project at \$43.5M. Work began on the addition in July 2024 and is approximately 20% completed.

The scope of work includes the new, approximately 60,000 SF structure that is dedicated primarily to classrooms and instructional tech lab spaces. There are also common & special use areas, and offices programmed into this new space. This increase is specifically for building out the interior of the 3rd floor (13,339 SF) and 4500 SF of the existing bldg. that connects to the new addition. The work will include new walls, doors, finish mechanical, electrical and plumbing and the upgrade of all flooring to terrazzo throughout the entire new addition (all 3 floors).

This project is time sensitive as renovation is already underway and the building is under construction. Construction duration is estimated at 18 months.

**THE UNIVERSITY OF NORTH CAROLINA
CAPITAL PROJECT AUTHORIZATION FORM**

Institution: Appalachian State University Date: 2/12/25 Interscope Code: 42130
 Project Title: Peacock Hall Addition UNC/ASU21-1 Interscope Item: 309-4A09

A. CAPITAL PROJECT SCOPE AND JUSTIFICATION

1. Name of Building(s): Peacock Hall Addition/Renovation 2. Project Type: New Construction
 3a. Estimated Existing GSF: 116,000 4a. Current Use: Academic Instruction 5. Land Ownership: State Owned (SCO is the AHJ)
 3b. Estimated New or Additional GSF: 60,000 4b. Proposed Use: Academic Instruction
 6. Was Adv Planning authorized by legislation or BOT? No BOT Authorization Date : _____ Date SD submitted to SCO: 5/5/2023
 7. Describe proposed project scope:

This project is for a new addition to the Walker College of Business located at Peacock Hall. The new, approximately 60,000 sqft., structure is dedicated primarily to classrooms and instructional tech lab spaces. There are also common & special use areas, and offices programmed into this new space. This increase is specifically for building out the interior of the 3rd floor (13,339SF) and 4500SF of the existing bldg that connects to the new addition. The work will include new walls, doors, finish mechanical, electrical and plumbing and the upgrade of all flooring to terrazo throughout the entire new addition (all 3 floors).

8a. Current departments and programs:

Walker College of Business

8b. Proposed departments and programs:

Walker College of Business

9. If the project is new construction or addition, describe the proposed use or disposition of the existing facility, including proposed timeline.

This project would add approximately 60,000 square feet to our existing Walker college of Business located in the 116,000 sqft Peacock Hall. Approximately 4500 sqft in the existing building will be impacted by the addition. The project is phased to provide as little disruption to student, faculty, and staff occupants as possible. Addressing exterior infrastructure and utilities is ongoing while selected existing building demo is scheduled to begin 3/10/25. The Beneficial Occupancy for the 1st two floors is scheduled for the summer of '26. The build out for the 3rd floor is scheduled to be completed by Jan 27.

10. Is project incl. in the 6-year capital plan? Yes If so, indicate version: FY21-23 Project Title: Duncan Hall Reno & Peacock Hall Addition/Reno

B. CURRENT CAPITAL PROJECT AUTHORIZATION AND FUND SOURCES

1. Identify fund sources for proposed project. For R&R funds and carry forward funds, provide the fiscal year. For trust funds and student fees, provide specific source of funds.

Authorization Approval	By	Approval Date	Appropriated	R&R	Specify FY	Carry Forward*	Specify FY	Trust Funds	Source	Student Fees	Source	Debt Service Fees
New Capital Project	BOG	21-Nov-23	40,000,000									
New Capital Project	BOG	3-May-22		200,000								
PREVIOUS AUTHORIZATION:			\$40,200,000	\$40,000,000	\$200,000	2022	\$0	\$0		\$0		\$0
			100%	100%	0%		0%	0%		0%		0%
Incr. Authorization	BOG	4/10/2025						3,500,000	Don/Gifts & Grants			
Change fund source(s)												
CHANGE IN AUTHORIZATION:			\$3,500,000	\$0	\$0		\$0	\$3,500,000		\$0		\$0
REV. TOTAL AUTHORIZATION:			\$43,700,000	\$40,000,000	\$200,000		\$0	\$3,500,000		\$0		\$0
			100%	92%	0%		0%	8%		0%		0%

2. Provide additional details on proposed fund sources (e.g., availability of donations and gifts as of the date of the request, pledged amounts, type of federal grants, specific student fees including date and amounts already approved by the Board of Governors, etc.):

Funding for the the Peacock Hall New addition for the Walker Hall School of Business Includes the Following : Named Appropriations (\$40,000,000) + Minor SCIF (\$200,000) + Donor Gifts (\$3,500,000) = \$43,700,000;

3. For auxiliary-supported projects (e.g., housing, dining, parking, etc.), provide an estimate of annual revenues that will be generated by the project and the revenue source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source

4. For any new construction, addition, or comprehensive renovation, provide the estimated annual operations and maintenance costs and the fund source for the first five years.

Year 1		Year 2		Year 3		Year 4		Year 5	
Amount	Source	Amount	Source	Amount	Source	Amount	Source	Amount	Source
\$780,145	FY 25-26	\$780,145	FY 26-27	\$780,145	FY 27-28	\$780,145	FY 28-29		

C. TOTAL ESTIMATED PROJECT BUDGET

**RESOLUTION OF THE BOARD OF TRUSTEES OF
APPALACHIAN STATE UNIVERSITY ENDORSING
APPALACHIAN STATE UNIVERSITY AND ITS ENDOWMENT
FUND AND ASSOCIATED ENTITIES TO ENTER INTO A
GROUND LEASE AGREEMENT AND RELATED AGREEMENTS
WITH RESPECT TO THE DEVELOPMENT OF STUDENT
HOUSING DESCRIBED HEREIN.**

WHEREAS, by Chapter 116 of the General Statutes of North Carolina, the Board of Governors of the University of North Carolina System (the "UNC Board of Governors") is vested with general control and supervision of the constituent institutions of the University of North Carolina System, which includes Appalachian State University ("Appalachian"); and

WHEREAS, the UNC Board of Governors is authorized under the Millennial Campuses Financing Act, Article 21B of Chapter 116 of the General Statutes of North Carolina (the "Act") to (1) designate real property held by a constituent institution as a "Millennial Campus" of the institution, (2) provide for the acquisition and construction of projects located on the Millennial Campus, and (3) enter into contracts and agreements related to the acquisition and construction of such projects; and

WHEREAS, at the request of the Board of Trustees of Appalachian (the "Appalachian Board"), the UNC Board of Governors previously designated various areas of Appalachian's campus as Millennial Campus property; and

WHEREAS, Appalachian conducted a student housing master plan to guide the development of student housing facilities in phases; and

WHEREAS, the first three phases in the development of student housing facilities have been completed; and

WHEREAS, in connection with the fourth phase of the development of student housing facilities (the "Project"), Appalachian conducted a competitive selection process which included a request for qualifications to solicit public-private partnership proposals for the development of the Project and has selected the development team of RISE Boone, LLC ("RISE"), an affiliated entity of RISE Development, LLC; and

WHEREAS, the Project will consist of the development and construction of an approximately 850-bed student apartment housing facility, parking, and related infrastructure, which will be located on a portion of Appalachian's Millennial Campus (the "Project Site") owned by the Board of Trustees of the Endowment Fund of Appalachian State University ("BOTEF"); and

WHEREAS, the Project will be developed, owned and operated by Appalachian Real Estate Development Corporation (the "Corporation"), a not-for-profit 501(c)(3) organization that is an associated entity of Appalachian, or an affiliate of the Corporation, which will in turn contract with RISE for the development and construction of the Project; and

WHEREAS, Appalachian has requested that the Corporation or an affiliate of the Corporation enter into a ground lease agreement (the "Ground Lease Agreement") with BOTEF, pursuant to which the Corporation or an affiliate of the Corporation will be the ground lease tenant of the Project Site and be obligated to develop and own the Project; and

WHEREAS, in order to facilitate the Project, the Corporation intends to create a separate, single-purpose limited liability company of which the Corporation will be the sole member, to be known as Mountaineer Ridge LLC, a North Carolina limited liability company (the "LLC"); and

WHEREAS, Appalachian and the LLC desire to finance the costs of the acquisition, construction, furnishing, and equipping of the Project through a direct placement loan to the LLC (the "Loan") from a lender (the "Lender") solicited through a bidding process; and

WHEREAS, the Appalachian Board acknowledges that there will be no pledge of taxes or the faith and credit of the State of North Carolina or any agency or political subdivision thereof to support the Loan; and

WHEREAS, the LLC, as lessor, and Appalachian, as lessee, will enter into a Lease Agreement (the "Lease"), whereby the LLC will lease to Appalachian, for a term not to exceed ten (10) years, the Project and all improvements thereon, and whereby Appalachian will agree to pay Base Rentals and any Additional Rentals (as those terms are defined in the Use Agreement, hereinafter defined) to the LLC; and

WHEREAS, the value of the Project being acquired by Appalachian pursuant to the Lease will be approximately \$120,000,000; and

WHEREAS, Appalachian will use the Project under the terms of a Use Agreement (the "Use Agreement") between Appalachian and the LLC, and the Corporation will operate and manage the Project under the terms of an Operating Agreement (the "Operating Agreement") between Appalachian and the Corporation, whereby Appalachian will agree to pay Base Rentals and any Additional Rentals as described in the Use Agreement, to be paid from revenues of the Project, all moneys received by Appalachian in connection with or as a result of its ownership and operation of the existing residence halls on the Appalachian campus after payment of any General Revenue Bonds, and, if required by Lender, specific support payments made to Appalachian by the Corporation; and

WHEREAS, Appalachian will cooperate with the LLC in its efforts to finance the acquisition, construction, furnishing and equipping of the Project with proceeds of an advance made to the LLC by the Lender; and

WHEREAS, the Base Rentals paid by Appalachian under the Lease and the Use Agreement will be in an amount of the debt service payments under the Loan, and the LLC will assign its rights to receive such Base Rentals under the Lease to the Lender; and

WHEREAS, to further secure the LLC's obligation to make the debt service payments under the Loan, the LLC will execute and deliver a Leasehold Deed of Trust, Security Agreement, Assignment of Rents and Leases and Fixture Filing (the "Deed of Trust") to the deed of trust trustee named therein for the benefit of the Lender, and the Lease will be subordinate to the lien created by the Deed of Trust; and

WHEREAS, Appalachian now seeks support from the Appalachian Board for the authorization of (1) the LLC to enter into a Development Agreement with RISE for the development of the Project; (2) BOTEF to ground lease the Project Site to the LLC; (3) the LLC to lease the Project to Appalachian; (4) the LLC and Appalachian to enter into a Use Agreement; (5) the Corporation and Appalachian to enter into an Operating Agreement for the maintenance and operation of the Project; and (6) certain Appalachian, BOTEF, Corporation, and LLC officials to enter into those agreements anticipated by the Ground Lease Agreement, the Development Agreement, and the Loan that are necessary to bring the Project to completion.

NOW, THEREFORE, BE IT RESOLVED by the Appalachian Board as follows:

Section 1. ***Support for Authorization of the Development Agreement.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to the president or other authorized officer (the "Authorized Officer") of the Corporation as the sole member of the LLC to enter into a Development Agreement with RISE Boone, LLC for the development of the Project. The form and content of such Development Agreement shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the Authorized Officer.

Section 2. ***Support for Authorization of the Ground Lease Agreement.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to chair or other authorized officers of BOTEF and the president or other authorized officer of the Corporation as the sole member of the LLC (each an "Authorized Officer" or collectively, the "Authorized Officers") to enter into a Ground Lease Agreement. The form and content of such Ground Lease Agreement shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the Authorized Officer.

Section 3. ***Support for Authorization of the Lease Agreement.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to the respective Authorized Officers of Appalachian and the LLC to enter into a Lease Agreement for the Project. The form and content of the Lease Agreement shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the Authorized Officers.

Section 4. ***Support for Authorization of the Use Agreement.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to the respective Authorized Officers of Appalachian and the LLC to enter into a Use Agreement for Appalachian's use of the Project. The form and content of the Use Agreement shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the Authorized Officers.

Section 5. ***Support for Authorization of the Operating Agreement.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to the respective Authorized Officers of Appalachian and the Corporation to enter into an Operating Agreement for the operation and maintenance of the Project. The form and content of the Operating Agreement shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the Authorized Officers.

Section 6. ***Support for General Authorization.*** The Appalachian Board supports authority being granted by the UNC Board of Governors to the respective Authorized Officers of Appalachian, BOTEF, the Corporation, and the LLC, to enter into those agreements (the "Related Agreements") anticipated by the Ground Lease Agreement, the Development Agreement, and the Loan, that are necessary to bring the Project to completion. The form and content of the Related Agreements shall be consistent with the material terms and provisions of the term sheet made available to the UNC Board of Governors and shall be in a form acceptable to the respective Authorized Officers.

Section 6. ***Effective Date.*** This Resolution is effective immediately on the date of its adoption.

APPROVED this 14th day of March, 2025.

Mark E. Ricks, Chair

(signature)

I, James E. Harris, the Secretary of the Board of Trustees of Appalachian State University, DO HEREBY CERTIFY that aforesaid is a true and correct copy of the resolution of the Board of Trustees of Appalachian State University adopted on the 14th day of March, 2025 (the "Resolution"); that the meeting at which the Resolution was adopted was duly called and held; that at the meeting a quorum was present and acting at all times; that the Resolution is in full force and effect; and that the Resolution has not been revoked or rescinded in any respect.

James E. Harris, Secretary

(signature)

MEETING OF THE STUDENT AFFAIRS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Friday, March 14, 2025
10:00 a.m.
Meeting Room B
End Zone Facility

AGENDA

- | | |
|---|-------------|
| 1. Call to Order | ACTION |
| 2. Approval of Minutes | ACTION |
| 3. Proposed App 105 Student Housing Project | INFORMATION |
| 4. Closed Session | ACTION |
| 5. Adjournment | ACTION |

MOTION TO CONVENE IN CLOSED SESSION

I MOVE THAT THE BOARD CONVENE IN CLOSED SESSION TO:

1. prevent the disclosure of privileged or confidential information
 pursuant to North Carolina General Statutes section 126-22.
_____ pursuant to Title _____ of the United States Code, section _____ or
_____ pursuant to _____ [applicable law or regulation] in accordance with
N.C.G.S § 143-318.11(a)(1).
2. prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award in accordance with N.C.G.S § 143-318.11(a)(2).
3. ___ consult with our attorney
___ to preserve the attorney-client privilege
___ to consider and give instructions to our attorney concerning the handling or settlement of a claim, judicial action, mediation, arbitration, or administrative procedure in accordance with N.C.G.S. § 143-318.11(a)(3).
4. ___ discuss matters relating to the location or expansion of industries or other businesses in the area served by this Board in accordance with N.C.G.S § 143-318.11(a)(4).
5. ___ establish or instruct the staff or an agent concerning the position to be taken by or on behalf of this Board in negotiating the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease in accordance with N.C.G.S § 143-318.11(a)(5).
6. ___ establish or instruct the staff or an agent concerning the position to be taken by or on behalf of this Board in negotiating the amount of compensation and other material terms of an employment contract or proposed employment contract in accordance with N.C.G.S § 143-318.11(a)(5).
7. ___ consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
8. ___ hear or investigate a complaint, charge, or grievance by or against an individual public officer or employee in accordance with N.C.G.S § 143-318.11(a)(6).
9. ___ plan, conduct, or hear reports concerning investigations of alleged criminal conduct in accordance with N.C.G.S § 143-318.11(a)(7).
10. ___ discuss and take action regarding plans to protect public safety as it relates to existing or potential terrorist activity and to receive briefings by staff members, legal counsel, or law enforcement or emergency service officials concerning actions taken or to be taken to respond to such activity in accordance with N.C.G.S §